



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2016
OF THE CONDITION AND AFFAIRS OF THE

Health Alliance Plan of Michigan

NAIC Group Code	1311	1311	NAIC Company Code	95844	Employer's ID Number	38-2242827
	(Current Period)	(Prior Period)				
Organized under the Laws of	Michigan			State of Domicile or Port of Entry	Michigan	
Country of Domicile	United States					
Licensed as business type:	Life, Accident & Health []		Property/Casualty []		Hospital, Medical & Dental Service or Indemnity []	
	Dental Service Corporation []		Vision Service Corporation []		Health Maintenance Organization [X]	
	Other []				Is HMO Federally Qualified? Yes [X] No []	
Incorporated/Organized	06/27/1978		Commenced Business		02/08/1979	
Statutory Home Office	2850 West Grand Boulevard			Detroit, MI, US 48202		
	(Street and Number)			(City or Town, State, Country and Zip Code)		
Main Administrative Office	2850 West Grand Boulevard		Detroit, MI, US 48202		313-872-8100	
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)	
Mail Address	2850 West Grand Boulevard		Detroit, MI, US 48202			
	(Street and Number or P.O. Box)		(City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	2850 West Grand Boulevard		Detroit, MI, US 48202		248-443-1093	
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)	
Internet Web Site Address	www.hap.org					
Statutory Statement Contact	Dianna L. Ronan CPA			248-443-1093		
	(Name)			(Area Code) (Telephone Number) (Extension)		
	dronan@hap.org			248-443-8610		
	(E-Mail Address)			(FAX Number)		

OFFICERS

Name	Title	Name	Title
Wright L Lassiter III	President and CEO	Todd E Hutchison	Treasurer
Edith L Eisenmann	Secretary	Dan E Champney	Assistant Secretary

OTHER OFFICERS

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DIRECTORS OR TRUSTEES

Marvin W Beatty	Shari L Burgess	Sandra A Cavette MPH RDH	Rosalind E Denning #
Colleen M Ezzeddine Ph D	Joyce V Hayes-Giles	Harvey Hollins III	Jamie C Hsu Ph D
Wright L Lassiter III	Raymond C Lope'	Judith S Milosic	Susanne M Mitchell
Marguerite S Rigby	Michelle B Schreiber MD	James G Vella	

State ofMichigan.....

County ofWayne.....

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The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Wright L Lassiter III President and CEO	Todd E Hutchison Treasurer	Edith L Eisenmann Secretary
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a. Is this an original filing? Yes [X] No []

b. If no:

1. State the amendment number

2. Date filed

3. Number of pages attached

Subscribed and sworn to before me this

day of ,

Roderick Irwin Curry, Notary

August 14 2020

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	56,156,805		56,156,805	60,569,185
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks	157,413,533		157,413,533	154,082,332
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	4,260,953	171,435	4,089,518	3,915,349
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$2,795,840), cash equivalents (\$0) and short-term investments (\$272,517,259)	275,313,098		275,313,098	216,282,216
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives	0		0	0
8. Other invested assets	11,228,678		11,228,678	10,604,319
9. Receivables for securities	10,094		10,094	51,260
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	1,642,757	0	1,642,757	1,490,307
12. Subtotals, cash and invested assets (Lines 1 to 11)	506,025,919	171,435	505,854,484	446,994,967
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	389,336		389,336	411,367
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	24,954,514	401,137	24,553,377	31,368,968
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$3,408,334) and contracts subject to redetermination (\$1,918,252)	5,326,586		5,326,586	4,456,205
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	2,100,848		2,100,848	5,268,631
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans	6,687,483		6,687,483	8,841,261
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software	69,754,786	67,446,516	2,308,271	4,128,976
21. Furniture and equipment, including health care delivery assets (\$)	19,652,577	19,652,577	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	21,860,629		21,860,629	8,303,632
24. Health care (\$22,178,700) and other amounts receivable	25,714,551	1,535,851	24,178,700	21,014,681
25. Aggregate write-ins for other-than-invested assets	31,725,214	31,629,634	95,580	89,147
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	714,192,443	120,837,150	593,355,293	530,877,835
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	714,192,443	120,837,150	593,355,293	530,877,835
DETAILS OF WRITE-INS				
1101. Deferred Compensation	1,604,995		1,604,995	1,452,636
1102. Rabbi Trust	37,762		37,762	37,670
1103.			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	1,642,757	0	1,642,757	1,490,307
2501. Intangible Asset - Inherent Contribution	26,691,545	26,691,545	0	0
2502. Prepaid Expense	4,938,089	4,938,089	0	
2503. Other Assets	57,843		57,843	57,843
2598. Summary of remaining write-ins for Line 25 from overflow page	37,737	0	37,737	31,304
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	31,725,214	31,629,634	95,580	89,147

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded).....	149,113,508	3,637,329	152,750,837	159,042,189
2. Accrued medical incentive pool and bonus amounts	11,774,508		11,774,508	8,261,171
3. Unpaid claims adjustment expenses	2,139,888		2,139,888	2,507,621
4. Aggregate health policy reserves including the liability of \$ for medical loss ratio rebate per the Public Health Service Act.....	4,980,217		4,980,217	18,677,502
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	68,630,417		68,630,417	18,042,116
9. General expenses due or accrued	46,943,196		46,943,196	47,036,778
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability.....			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others			0	0
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$5,000,000 current) and interest thereon \$ (including \$ current)	25,416,675		25,416,675	29,166,675
15. Amounts due to parent, subsidiaries and affiliates	27,967,967		27,967,967	8,279,422
16. Derivatives.....		0	0	0
17. Payable for securities	3,051,385		3,051,385	731,964
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans	26,106		26,106	234,008
23. Aggregate write-ins for other liabilities (including \$ current)	33,477,892	0	33,477,892	32,412,102
24. Total liabilities (Lines 1 to 23).....	373,521,758	3,637,329	377,159,087	324,391,549
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	19,734,740
26. Common capital stock	XXX	XXX		0
27. Preferred capital stock	XXX	XXX		0
28. Gross paid in and contributed surplus	XXX	XXX	30,170,511	170,511
29. Surplus notes	XXX	XXX		0
30. Aggregate write-ins for other-than-special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	186,025,695	186,581,035
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		0
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	216,196,206	206,486,286
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	593,355,293	530,877,835
DETAILS OF WRITE-INS				
2301. Pension Liability - Long Term.....	27,707,077		27,707,077	28,892,673
2302. Retiree Health Benefits.....	1,770,226		1,770,226	1,592,312
2303. Deferred Compensation.....	1,604,995		1,604,995	1,452,636
2398. Summary of remaining write-ins for Line 23 from overflow page	2,395,594	0	2,395,594	474,480
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	33,477,892	0	33,477,892	32,412,102
2501. Surplus Appropriated for ACA Section 9010 Fee Due in 2016.....	XXX	XXX		19,734,740
2502.	XXX	XXX		0
2503.	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	19,734,740
3001.	XXX	XXX		0
3002.	XXX	XXX		0
3003.	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	2,644,587	2,991,894	3,945,250
2. Net premium income (including \$ non-health premium income).....	XXX	1,455,520,689	1,566,290,387	2,070,253,077
3. Change in unearned premium reserves and reserve for rate credits	XXX		0	0
4. Fee-for-service (net of \$ medical expenses)	XXX		0	0
5. Risk revenue	XXX		0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	(774)	(299,031)	(490,120)
8. Total revenues (Lines 2 to 7)	XXX	1,455,519,915	1,565,991,356	2,069,762,957
Hospital and Medical:				
9. Hospital/medical benefits		1,008,649,851	1,025,035,886	1,445,547,537
10. Other professional services		45,639,124	0	7,438,930
11. Outside referrals		5,470,352	41,689,937	8,476,920
12. Emergency room and out-of-area		60,800,805	119,146,127	97,493,615
13. Prescription drugs		182,931,469	200,627,154	283,244,000
14. Aggregate write-ins for other hospital and medical.....	0	0	10,991	10,991
15. Incentive pool, withhold adjustments and bonus amounts.....		4,151,731	7,100,286	8,955,287
16. Subtotal (Lines 9 to 15)	0	1,307,643,332	1,393,610,381	1,851,167,280
Less:				
17. Net reinsurance recoveries		4,582,466	4,022,116	5,787,723
18. Total hospital and medical (Lines 16 minus 17)	0	1,303,060,866	1,389,588,265	1,845,379,557
19. Non-health claims (net).....			0	0
20. Claims adjustment expenses, including \$ 14,202,042 cost containment expenses.....		22,916,350	24,792,006	30,945,822
21. General administrative expenses.....		162,428,537	173,150,591	221,074,601
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....		(10,575,000)	0	16,005,000
23. Total underwriting deductions (Lines 18 through 22)	0	1,477,830,753	1,587,530,862	2,113,404,980
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	(22,310,838)	(21,539,506)	(43,642,024)
25. Net investment income earned		41,976,004	7,887,367	68,928,686
26. Net realized capital gains (losses) less capital gains tax of \$		(5,690,722)	(29,012)	(36,091,661)
27. Net investment gains (losses) (Lines 25 plus 26)	0	36,285,282	7,858,356	32,837,025
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]			0	0
29. Aggregate write-ins for other income or expenses	0	26,839,768	3,392,461	2,278,682
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	40,814,213	(10,288,690)	(8,526,317)
31. Federal and foreign income taxes incurred	XXX		0	0
32. Net income (loss) (Lines 30 minus 31)	XXX	40,814,213	(10,288,690)	(8,526,317)
DETAILS OF WRITE-INS				
0601.	XXX		0	0
0602.	XXX		0	0
0603.	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX	0	0	0
0701. Gain/(Loss) on Sale of Assets.....	XXX	(774)	(299,031)	(490,120)
0702.	XXX		0	0
0703.	XXX		0	0
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	(774)	(299,031)	(490,120)
1401. Other Medical Expense.....			10,991	10,991
1402.			0	0
1403.			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)	0	0	10,991	10,991
2901. Intangibles - Inherent Contribution.....		26,505,540		
2902. Delta Dental Commission Revenues.....		328,750	306,100	423,652
2903. Other Revenue.....		5,478	1,461	35,130
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	3,084,900	1,819,900
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	26,839,768	3,392,461	2,278,682

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	206,486,286	226,796,853	226,796,853
34. Net income or (loss) from Line 32	40,814,213	(10,288,690)	(8,526,317)
35. Change in valuation basis of aggregate policy and claim reserves		0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$	(48,034,629)	33,182,954	(42,551,800)
37. Change in net unrealized foreign exchange capital gain or (loss)		0	0
38. Change in net deferred income tax		0	0
39. Change in nonadmitted assets	(18,034,467)	4,628,074	34,435,483
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock		0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles		0	0
44. Capital Changes:			
44.1 Paid in		0	0
44.2 Transferred from surplus (Stock Dividend)		0	0
44.3 Transferred to surplus		0	0
45. Surplus adjustments:			
45.1 Paid in	30,000,000	0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital		0	0
46. Dividends to stockholders		0	0
47. Aggregate write-ins for gains or (losses) in surplus	4,964,803	(3,162,743)	(3,667,933)
48. Net change in capital and surplus (Lines 34 to 47)	9,709,920	24,359,595	(20,310,566)
49. Capital and surplus end of reporting period (Line 33 plus 48)	216,196,206	251,156,448	206,486,286
DETAILS OF WRITE-INS			
4701. Additional Pension Liability.....		0	(954,480)
4702. Additional Retiree Health Benefits.....		0	449,561
4703. Additional SERP Liability.....		0	(271)
4798. Summary of remaining write-ins for Line 47 from overflow page	4,964,803	(3,162,743)	(3,162,743)
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	4,964,803	(3,162,743)	(3,667,933)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	1,511,996,209	1,583,620,556	2,083,094,390
2. Net investment income	43,229,421	9,449,175	70,879,781
3. Miscellaneous income	322,317	308,167	422,548
4. Total (Lines 1 to 3)	1,555,547,946	1,593,377,898	2,154,396,720
5. Benefit and loss related payments	1,308,439,917	1,415,872,960	1,860,018,974
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	168,419,464	192,887,019	244,849,330
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	0	0	0
10. Total (Lines 5 through 9)	1,476,859,381	1,608,759,979	2,104,868,304
11. Net cash from operations (Line 4 minus Line 10)	78,688,566	(15,382,081)	49,528,416
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	33,176,442	25,895,320	33,301,128
12.2 Stocks	42,182,842	1,121,971	6,364,031
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	2,360,586	1,381,428	1,553,214
12.8 Total investment proceeds (Lines 12.1 to 12.7)	77,719,870	28,398,718	41,218,372
13. Cost of investments acquired (long-term only):			
13.1 Bonds	28,893,820	27,533,079	35,446,983
13.2 Stocks	44,130,761	2,713,708	29,495,083
13.3 Mortgage loans	0	0	0
13.4 Real estate	591,467	58,786	95,908
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	73,616,048	30,305,573	65,037,974
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	4,103,822	(1,906,855)	(23,819,602)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	30,000,000	0	0
16.3 Borrowed funds	(3,750,000)	(3,750,000)	(5,000,000)
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied).....	(50,011,505)	(30,206,666)	(18,202,236)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(23,761,505)	(33,956,666)	(23,202,236)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	59,030,883	(51,245,602)	2,506,579
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	216,282,216	213,775,637	213,775,637
19.2 End of period (Line 18 plus Line 19.1)	275,313,098	162,530,035	216,282,216

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	317,226	12,275	221,462	.0	.0	.0	19,996	63,493	.0	.0
2. First Quarter	297,561	13,337	204,541	.0	.0	.0	18,385	61,298	.0	.0
3. Second Quarter	291,101	12,610	198,984	.0	.0	.0	18,258	61,249	.0	.0
4. Third Quarter	289,795	12,160	198,083				18,160	61,392		
5. Current Year	0									
6. Current Year Member Months	2,644,587	114,960	1,812,857				164,767	552,003		
Total Member Ambulatory Encounters for Period:										
7. Physician	951,762	28,457	656,161				64,269	202,875		
8. Non-Physician	465,480	4,570	209,685				20,026	231,199		
9. Total	1,417,242	33,027	865,846	0	0	0	84,295	434,074	0	0
10. Hospital Patient Days Incurred	127,992	855	48,472				3,599	75,066		
11. Number of Inpatient Admissions	25,088	193	10,866				901	13,128		
12. Health Premiums Written (a).....	1,456,823,564	41,173,451	794,878,177				94,832,408	525,939,528		
13. Life Premiums Direct.....	.0									
14. Property/Casualty Premiums Written0									
15. Health Premiums Earned	1,456,823,564	41,173,451	794,878,177				94,832,408	525,939,528		
16. Property/Casualty Premiums Earned0									
17. Amount Paid for Provision of Health Care Services	1,312,172,979	28,315,851	703,902,707				91,172,926	488,781,495		
18. Amount Incurred for Provision of Health Care Services	1,307,643,332	28,502,819	706,923,526				91,305,279	480,911,708		

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 525,939,528

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]

UNDERWRITING AND INVESTMENT EXHIBIT
ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	81,772,187	656,057,371	3,480,211	87,976,374	85,252,398	93,552,171
2. Medicare Supplement					0	0
3. Dental only					0	0
4. Vision only					0	0
5. Federal Employees Health Benefits Plan	10,055,316	80,686,643	95,363	5,886,230	10,150,679	5,559,181
6. Title XVIII - Medicare	57,676,385	428,879,247	416,001	54,896,658	58,092,386	59,930,841
7. Title XIX - Medicaid					0	0
8. Other health					0	0
9. Health subtotal (Lines 1 to 8).....	149,503,888	1,165,623,261	3,991,575	148,759,262	153,495,463	159,042,193
10. Health care receivables (a)	7,631,517	1,093,181	4,916,432	17,262,268	12,547,949	20,976,737
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	1,525,679	(887,287)	8,123,044	3,651,464	9,648,723	8,261,171
13. Totals (Lines 9-10+11+12)	143,398,050	1,163,642,793	7,198,187	135,148,458	150,596,237	146,326,627

(a) Excludes \$ loans or advances to providers not yet expensed.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

NOTES TO FINANCIAL STATEMENTS

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Accounting Practices - The accompanying financial statements of Health Alliance Plan of Michigan (the Corporation) have been prepared in accordance with the *NAIC Accounting Practices and Procedures Manual (NAPPM)* and the NAIC Annual and Quarterly Statement Instructions (NASI) to the extent that these accounting practices, procedures and reporting standards are not modified by accounting practices prescribed or permitted by the Michigan Department of Insurance and Financial Services (DIFS).

DIFS recognizes only statutory accounting practices prescribed or permitted by the State of Michigan for determining the financial condition and results of operation of an insurance company. The *NAPPM* has been adopted as a component of prescribed or permitted practices by DIFS.

A reconciliation of the Corporation’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Michigan are shown below:

	<u>State of Domicile</u>	<u>2016</u>	<u>2015</u>
<u>NET INCOME</u>			
(1) HAP state basis (Page 4, Line 32, Columns 3 & 4)	Michigan	\$40,814,000	(\$8,526,000)
(2) State Prescribed Practices that increase/(decrease) NAIC SAP: NONE	Michigan		
(3) State Permitted Practices that increase/(decrease) NAIC SAP: NONE	Michigan		
(4) NAIC SAP (1-2-3=4)	Michigan	<u>\$40,814,000</u>	<u>(\$8,526,000)</u>
<u>SURPLUS</u>			
(5) HAP state basis (Page 3, Line 33, Columns 3 & 4)	Michigan	\$216,196,206	\$206,486,000
(6) State Prescribed Practices that increase/(decrease) NAIC SAP: NONE	Michigan		
(7) State Permitted Practices that increase/(decrease) NAIC SAP: NONE	Michigan		
(8) NAIC SAP (5-6-7=8)	Michigan	<u>\$216,196,206</u>	<u>\$206,486,000</u>

B. Use of Estimates in the Preparation of the Financial Statements – No significant change.

C. Accounting Policy – No significant changes except as follows.

(6) Loan-Backed Securities - loan backed securities are stated at either amortized cost or the lower of amortized cost or fair value unless prescribed otherwise by the NAIC. The retrospective method is used to value all securities. Amortized cost is determined utilizing the scientific interest method.

D. Going Concern

Management does not consider there to be any present conditions or events that would raise substantial doubt about the Corporation’s ability to continue as a going concern.

2. ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

The Corporation recorded an adjustment to surplus of approximately \$4,965,000 at September 30, 2016 related items identified in the HealthPlus of Michigan 2015 audit.

3. BUSINESS COMBINATIONS AND GOODWILL

A. Statutory Purchase method - No significant change.

B. Statutory Merger

1) The Corporation merged with HealthPlus of Michigan (HPM) on February 1, 2016.

2) The transaction was accounted for as a statutory merger.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan
NOTES TO FINANCIAL STATEMENTS

3) No stock was issued.

4) Pre-merger separate revenue, net income, and other surplus adjustments for the one month ended 1/31/2016 were \$131,023,000, (\$11,461,000), \$-0-, respectively for the Corporation and \$31,049,000, \$33,000, \$-0-, respectively for HPM.

5) Not applicable. HPM was required to prepare statutory statements.

C. Assumption Reinsurance – Not applicable.

D. Impairment Loss – No significant change.

4. DISCONTINUED OPERATIONS

No significant change.

5. INVESTMENTS

A. Mortgage Loans, including Mezzanine Real Estate Loans - Not applicable.

B. Debt Restructuring - Not applicable.

C. Reverse Mortgages - Not applicable.

D. Loan-Backed Securities

(1) Prepayment assumptions for loan-backed and asset backed securities are obtained from broker dealer survey values.

(2) - (3) Securities with a recognized other-than-temporary-impairment (OTTI): HAP has not recognized any OTTI.

(4) Securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss:

a. The aggregate amount of unrealized losses:

1. Less than 12 months \$38,000.

2. 12 months or longer \$ 11,000.

b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 months \$14,547,000.

2. 12 months or longer \$ 1,393,000.

(5) In considering whether an investment is other-than-temporarily impaired, management considers its ability and intent to hold the investment, the severity of the decline in fair value and the duration of the impairment, among other factors. Management has determined that it has the ability and intent to hold indefinitely its investment in loan-backed securities and that the severity and duration of any impairments are insufficient to indicate an other-than-temporary impairment.

E. Repurchase Agreements and/or Securities Lending Transactions.

The Corporation does not presently have any holdings in repurchase agreements. While the Corporation may occasionally enter into repurchase agreements, any collateral pledged, sold or repledged as of the Balance Sheet date is not applicable.

For repurchase agreements, the Corporation's policies require collateral by cash or other eligible securities equal to at least 102% of the market value of the repurchase agreement. Eligible collateral includes: cash, U.S. Treasury securities, U.S. Government agency securities and high grade commercial paper.

Pursuant to its investment policy, the Corporation does not enter into securities lending transactions.

F. Real Estate - No significant change.

G. Investments in Low-Income Housing Tax Credits - Not applicable.

H. Restricted Assets – No significant change.

I. Working Capital Finance Investments – Not applicable.

J. Offsetting and Netting of Assets and Liabilities – No significant change.

K. Structured Notes – No significant change.

6. JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

No significant change.

7. INVESTMENT INCOME

Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

NOTES TO FINANCIAL STATEMENTS

8. DERIVATIVE INSTRUMENTS

Not applicable.

9. INCOME TAXES

Not applicable.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES AND AFFILIATES

A-B. No significant change.

On February 1, 2016 the Corporation completed the merger with HealthPlus of Michigan, Inc (HPM). HPM had three wholly owned subsidiaries. HealthPlus Options, Inc. has merged with HAP Preferred, Inc. HealthPlus Partners, Inc. has been placed into trust to run out any remaining business. HealthPlus Insurance Company has also been placed into trust to run out any remaining business. On January 25, 2016, the Department of Insurance and Financial Services approved the payment of a \$6,972,102 dividend by HealthPlus Partners, Inc. to HPM. The dividend to HPM was contingent upon the closing of the merger with Health Alliance Plan and the funds from the dividend were to be used to make a capital infusion into HealthPlus Insurance Company (HPIC) Trust as part of fully funding the HPIC’s Trust.

C. The Corporation received a capital contribution from its parent company, Henry Ford Health System, on February 19, 2016 in the amount of \$30,000,000. The Corporation also received a dividend in the amount of \$2,663,000 from its subsidiary Administration Systems Research Corporation on January 22, 2016. The Corporation received a dividend on July 19, 2016 from its subsidiary HAP Midwest Health Plan, Inc. in the amount of \$30,000,000. The Corporation provided a capital contribution to its subsidiary Alliance Health and Life Insurance Company on July 19, 2016 in the amount of \$30,000,000.

In connection with its acquisition of a majority ownership in Administration Systems Research Corporation (ASR), the Corporation entered into an employment contract and stock transfer and redemption agreement (the “Agreement”) with the 33% non-controlling interest (NCI) holder in ASR. Under the terms of the Agreement, the NCI holder has the right to require that ASR purchase the NCI holder’s interest in ASR for the higher of \$5.3 million or an amount based on a formula utilizing revenues for the preceding 12 months, prior to the exercise of the put option, times a multiplier. The Corporation has accrued \$26 million to be transferred to ASR to meet the obligation to the NCI holder pursuant to the Agreement.

D-N. No significant change.

11. DEBT

No significant change.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

No significant change except for footnote 12A (4).

A. Defined Benefit Plan

(4) Components of net periodic benefit cost

	Pension Benefits		Postretirement Benefits		Postemployment & Compensated Absence Benefits	
	2016	2015	2016	2015	2016	2015
a. Service cost	\$2,633	\$3,439	\$23	\$228		
b. Interest cost	2,945	3,616	53	138		
c. Expected return on plan assets	(3,396)	(4,910)				
d. Transition asset or obligation	426	603	(11)	(14)	N/A	N/A
e. Gains and losses	1,949	2,561		72		
f. Prior service cost or credit	(993)	(1,358)	31	44		
g. Gain or loss recognized due to a settlement or curtailment				(469)		
h. Total net periodic benefit cost	\$3,564	\$3,951	\$96	(\$1)		

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS

- 1. Capital Stock - Not applicable.
- 2. Preferred Stock - Not applicable.
- 3. Dividend Restrictions - No significant change.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan
NOTES TO FINANCIAL STATEMENTS

4. Dividends Paid – Not applicable.
5. Portion of Company's profits that may be paid as ordinary dividends - No significant change.
6. Restrictions on unassigned funds (surplus) - Not applicable.
7. Advances to surplus not repaid - Not applicable.
8. Total amount of stock held by the Company for special purposes - Not applicable.
9. Changes in special surplus funds from the prior year - due to the moratorium on the annual fee under section 9010 of the Affordable Care Act that is due in 2017, no special surplus funds have been appropriated for 2016.
10. The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses - No significant change.
11. Surplus Notes - Not applicable.
12. The impact of any restatement due to a quasi-reorganization - Not applicable.
13. The effective date of any quasi-reorganization - Not applicable.

14. LIABILITIES, CONTINGENCIES AND ASSESSMENTS

- A. Contingent Commitments - Not applicable.
- B. Assessments – No significant change.
- C. Gain Contingencies - Not applicable.
- D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits - Not applicable.
- E. Joint and Several Liabilities – No significant change.
- F. All Other Contingencies

Risk Adjustment Data Validation Audits (“RADV audits”). CMS adjusts capitation payments to Medicare Advantage and Medicare Part D plans according to the predicted health status of each beneficiary, as supported by data provided by health care providers. The Company collects claim and encounter data from providers, who the Company generally relies on to appropriately code their claim submissions and document their medical records. CMS then determines the risk score and payment amount for each enrolled member based on the health care data submitted by the Company and member demographic information.

CMS performs RADV audits of selected Medicare Advantage health plans each year to validate the coding practices of and supporting documentation maintained by health care providers. These audits involve a review of medical records maintained by providers and may result in retrospective adjustments to payments made to health plans. To date, the Company has not been selected for audit by CMS. Payment years open for audit include 2011 and forward.

In December 2010, CMS published for public comment a new proposed RADV audit and payment adjustment methodology. The proposed methodology contains provisions allowing retroactive contract level payment adjustments for the year audited using an extrapolation of the “error rate” identified in audit samples. CMS also indicated that it anticipated the final methodology would be issued in the near future. Depending on the methodology utilized, potential payment adjustments could have a material adverse effect on the Company’s results of operations, financial position and cash flows.

15. LEASES

No significant change.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

Not applicable.

17. SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

Not applicable.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY UNINSURED PLANS

- A. ASO Plans - Not applicable.
- B. ASC Plans - Not applicable.
- C. Medicare or Similarly Structured Cost Based Reimbursement Contract - No significant change.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

NOTES TO FINANCIAL STATEMENTS

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS

Not applicable.

20. FAIR VALUE MEASUREMENT

A.

(1) Fair Value Measurements at Reporting Date

Description	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at Fair Value				
Bonds:				
U.S. Governments	\$267,581,000	250,000		\$267,831,000
Industrial and Misc.		4,869,000		4,869,000
Total Bonds	267,581,000	5,119,000		272,700,000
Equity Securities:				
Industrial and Misc.	36,000	45,474,000		45,510,000
Other Invested Assets		11,229,000		11,229,000
Other Equity Securities	1,643,000			1,643,000
Total Common Stocks	1,679,000	56,703,000		58,382,000
Total Assets at Fair Value	\$269,260,000	\$61,822,000		\$331,082,000

- (2) The Corporation has no fair value measurements categorized within Level 3 of the fair value hierarchy.
- (3) The Corporation's policy for recognition of transfers between levels within the fair value hierarchy is to recognize the transfer on the actual date of the event or change in circumstances that caused the transfer. The Corporation had no transfers between levels.
- (4) The fair value measurements categorized within Level 2 of the fair value hierarchy reported by the Corporation are obtained primarily from independent pricing services and broker dealer quotes. Mortgage backed securities are submitted to the NAIC's Securities Valuation Office for modeling/valuation.
- (5) Derivative assets and liabilities – Not applicable.

B. Fair value information and information about other similar measurements disclosed under other accounting pronouncements combined with disclosures under SSAP No. 100, Fair Value Measurements - Not applicable.

C. Aggregate fair values of financial instruments and applicable levels within the fair value hierarchy

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets/ Liabilities	(Level 1)	(Level 2)	(Level 3)	Not Practicable Carrying Value
Bonds	334,782,000	333,845,000	267,581,000	67,201,000		n/a
Common Stock	58,382,000	58,382,000	1,679,000	56,703,000		n/a

D. Financial instruments or classes of financial instruments for which fair value measurements are not determinable - Not applicable.

21. OTHER ITEMS

- A. Extraordinary Items - Not applicable.
- B. Troubled Debt Restructuring: Debtors - Not applicable.
- C. Other Disclosures and Unusual Items - Not applicable.
- D. Business Interruption Insurance Recoveries – Not applicable.
- E. State Transferable and Non-transferable Tax Credits - Not applicable.
- F. Subprime-Mortgage Related Risk Exposure – Not applicable.
- G. Retained Assets – Not applicable.
- H. Insurance-Linked Securities – Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan
NOTES TO FINANCIAL STATEMENTS

22. EVENTS SUBSEQUENT

Not applicable.

23. REINSURANCE

No significant change.

24. RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION

A – D. No significant change.

E. Risk-Sharing Provisions of the Affordable Care Act (ACA)

(1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk-sharing provisions (YES/NO)? YES

(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

a. Permanent ACA Risk Adjustment Program

Assets

1. Premium adjustments receivable due to ACA Risk Adjustment \$ 1,343,000

Liabilities

2. Risk adjustment user fees payable for ACA Risk Adjustment \$ 54,000

3. Premium adjustments payable due to ACA Risk Adjustment \$ 667,000

Operations (Revenue and Expense)

4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment \$ 13,000

5. Reported in expenses as ACA risk adjustment user fees (incurred/paid) \$ 37,000

b. Transitional ACA Reinsurance Program

Assets

1. Amounts recoverable for claims paid due to ACA Reinsurance \$ 847,000.

2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability) \$ 221,000.

3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance \$ None.

Liabilities

4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium \$ 4,599,000.

5. Ceded reinsurance premiums payable due to ACA Reinsurance \$ 293,000.

6. Liabilities for amounts held under uninsured plans contributions for ACA reinsurance \$ None.

Operations (Revenue and Expense)

7. Ceded reinsurance premiums due to ACA Reinsurance \$ 293,000.

8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected \$ 550,000.

9. ACA Reinsurance contributions – not reported as ceded premium \$ 4,599,000.

c. Temporary ACA Risk Corridors Program

Assets

1. Accrued retrospective premium due to ACA Risk Corridors \$ None.

Liabilities

2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors \$ 128,000.

Operations (Revenue and Expense)

3. Effect of ACA Risk Corridors on net premium income (paid/received) \$ 562,000.

4. Effect of ACA Risk Corridors on change in reserves for rate credits \$ None.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

NOTES TO FINANCIAL STATEMENTS

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

Accr During the Prior Yr on Bus Written Before Dec 31 of the Prior Year		Rec'd or Paid as of the Curr Yr on Business Written Before Dec 31 of the Prior Yr		Differences		Adjustments			Unsettled Bals as of Reporting Date	
				Prior Year Accr Less Payments (Col 1-3)	Prior Year Accr Less Payments (Col 2-4)	To Pr Year Balances	To Pr Year Balances		Cumulative Bal from Pr Years (Col 1-3+7)	Cumulative Bal from Pr Years (Col 2-4+8)
1	2	3	4	5	6	7	8	Ref	1	2
Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. Permanent ACA Risk Adjustment										
Program										
1. Premium adjustment receivable	1,906,000		1,220,000		686,000		130,000		A	816,000
2. Premium adjustments (payable)		4,505,000		4,000,000		505,000		(513,000)	B	(8,000)
3. Subtotal ACA Permanent Risk Adjustment program	1,906,000	4,505,000	1,220,000	4,000,000	686,000	505,000	130,000	(513,000)		816,000 (8,000)
b. Transitional ACA Reinsurance										
Program										
1. Amounts recoverable for claims paid	4,879,000		3,877,000		1,002,000		(331,000)		C	671,000
2. Amounts recoverable for claims unpaid (contra liability)	(152,000)				(152,000)				D	(152,000)
3. Amounts receivable relating to uninsured plans									E	
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium		11,140,000		11,140,000		0			F	0
5. Ceded reinsurance premiums payable		424,000		424,000		0			G	0
6. Liability for amounts hold under uninsured plans									H	
7. Subtotal ACA Transitional Reinsurance Program	4,727,000	11,564,000	3,877,000	11,564,000	850,000	0	(331,000)	0		519,000 0
c. Temporary ACA Risk Corridors										
Program										
1. Accrued retrospective premium									I	
2. Reserve for rate credits or policy experience rating refunds		690,000		562,000		128,000			J	128,000
3. Subtotal ACA Risk Corridors Program		690,000		562,000		128,000		0		128,000
d. Total for ACA Risk Sharing Provisions	6,633,000	16,759,000	5,097,000	16,126,000	1,536,000	633,000	(201,000)	(513,000)		1,335,000 120,000
Explanations of Adjustments										
A. Adjusted to amount expected to be received from CMS.										
B. Audit adjustment based on June 30, 2016 CMS report.										
C. Adjusted to amount expected to be received from CMS.										
D. None										
E. None										
F. None										
G. None										
H. None										
I. None										
J. None.										

Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

Program Year	Beginning Balance	Adjustments	Receipts/ (Payments)	Ending Balance
Assets:				
2014	None			
2015	None			
2016	None			
Liabilities:				
2014	1,080,000		(1,080,000)	0
2015	690,000		(562,000)	128,000
2016	None			

ACA Risk Corridors Receivable as of Reporting Date – HAP has not accrued any estimates for Risk Corridor receivables as of September 30, 2016 and has not estimated any amounts for the 2016 program year.

25. CHANGE IN INCURRED CLAIMS AND CLAIM ADJUSTMENT EXPENSES

Claims and claims adjustment expense reserves as of December 31, 2015 were \$161,550,000. As of September 30, 2016 \$150,874,000 has been paid for incurred claims and claims adjustment expenses attributable to insured events of prior years. Claims and claims adjustment expense reserves remaining for prior years are now \$4,024,000. Reserves for incurred claims attributable to insured events of prior years have been increased by \$5,357,000 as a result of the re-estimation of unpaid claims as of the quarter ended September 30, 2016. Changes in actuarial estimates of reserves attributable to insured events of prior years’ reflect revisions in estimates of medical cost trends and changes in claims processing patterns.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan
NOTES TO FINANCIAL STATEMENTS

26. INTERCOMPANY POOLING ARRANGEMENTS

Not applicable.

27. STRUCTURED SETTLEMENTS

Not applicable.

28. HEALTH CARE RECEIVABLES

A. Pharmaceutical Rebate Receivables - No significant change.

B. Risk Sharing Receivables - Not applicable

29. PARTICIPATING POLICIES

Not applicable.

30. PREMIUM DEFICIENCY RESERVES

Not applicable.

31. ANTICIPATED SALVAGE AND SUBROGATION

Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☒ No ☐
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes ☒ No ☐
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes ☒ No ☐
- If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☐ No ☒
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.

Not applicable
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☒ No ☐
- 4.2

If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
HealthPlus of Michigan, Inc.	95580	MI

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

Yes ☒ No ☐ NA ☐
- If yes, attach an explanation.
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2015
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2012
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

02/10/2014
- 6.4

By what department or departments?

The Michigan Department of Insurance and Financial Services
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☒ No ☐ NA ☐
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ NA ☐
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☐ No ☒
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
.....
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
.....
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?.....

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:.....\$0

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
.....
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:\$0
13.

Amount of real estate and mortgages held in short-term investments:\$0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [X] No []
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$94,295,081	\$111,903,913
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$94,295,081	\$111,903,913
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$0	\$0

- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes [] No []
- If no, attach a description with this statement.

GENERAL INTERROGATORIES

- 16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0
- 16.3 Total payable for securities lending reported on the liability page

\$0

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?
- Yes [X] No []

- 17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Northern Trust Company.....	Chicago, Illinois.....
Comerica Bank, NA.....	Detroit, Michigan.....
FirstMerit PrivateBank.....	Flint, Michigan.....

- 17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
Not applicable.....		

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
- Yes [X] No []

- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
JPMorgan Asset Management...	FirstMerit PrivateBank.....	01/15/2016.....	Consolidated investments into one custodian.....

- 17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
Not Applicable.....	Hendrik Schuur.....	Detroit Michigan.....
152606.....	Doubleline Capital LP.....	Los Angeles California.....
104863.....	Income Research and Management J.P. Morgan Investment Management Inc.....	Boston Massachusetts.....
107038.....	Sky Harbor Capital Management LLC.....	New York New York.....
159020.....	Blackrock Advisors LLC.....	Greenwich Connecticut.....
106614.....	The Dreyfus Corporation.....	Wilmington Delaware.....
105642.....	Goldman Sachs Asset Management LP.....	New York New York.....
107738.....	Fidelity management and Research Company.....	Boston Massachusetts.....
108281.....	Wells Fargo Funds Management LLC.....	San Francisco California.....
110841.....	FirstMerit PrivateBank.....	Flint, Michigan.....
111223.....		

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?
- Yes [X] No []

- 18.2 If no, list exceptions:
-

HealthPlus of Michigan, Inc.'s subsidiaries, HealthPlus Insurance Company and HealthPlus Partners, Inc., are no longer parties to the management agreements.

GENERAL INTERROGATORIES
PART 2 - HEALTH

1. Operating Percentages:	
1.1 A&H loss percent.....	89.8 %
1.2 A&H cost containment percent	1.0 %
1.3 A&H expense percent excluding cost containment expenses.....	11.8 %
2.1 Do you act as a custodian for health savings accounts?.....	Yes [] No [X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.....	\$ 0
2.3 Do you act as an administrator for health savings accounts?.....	Yes [] No [X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date.....	\$ 0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

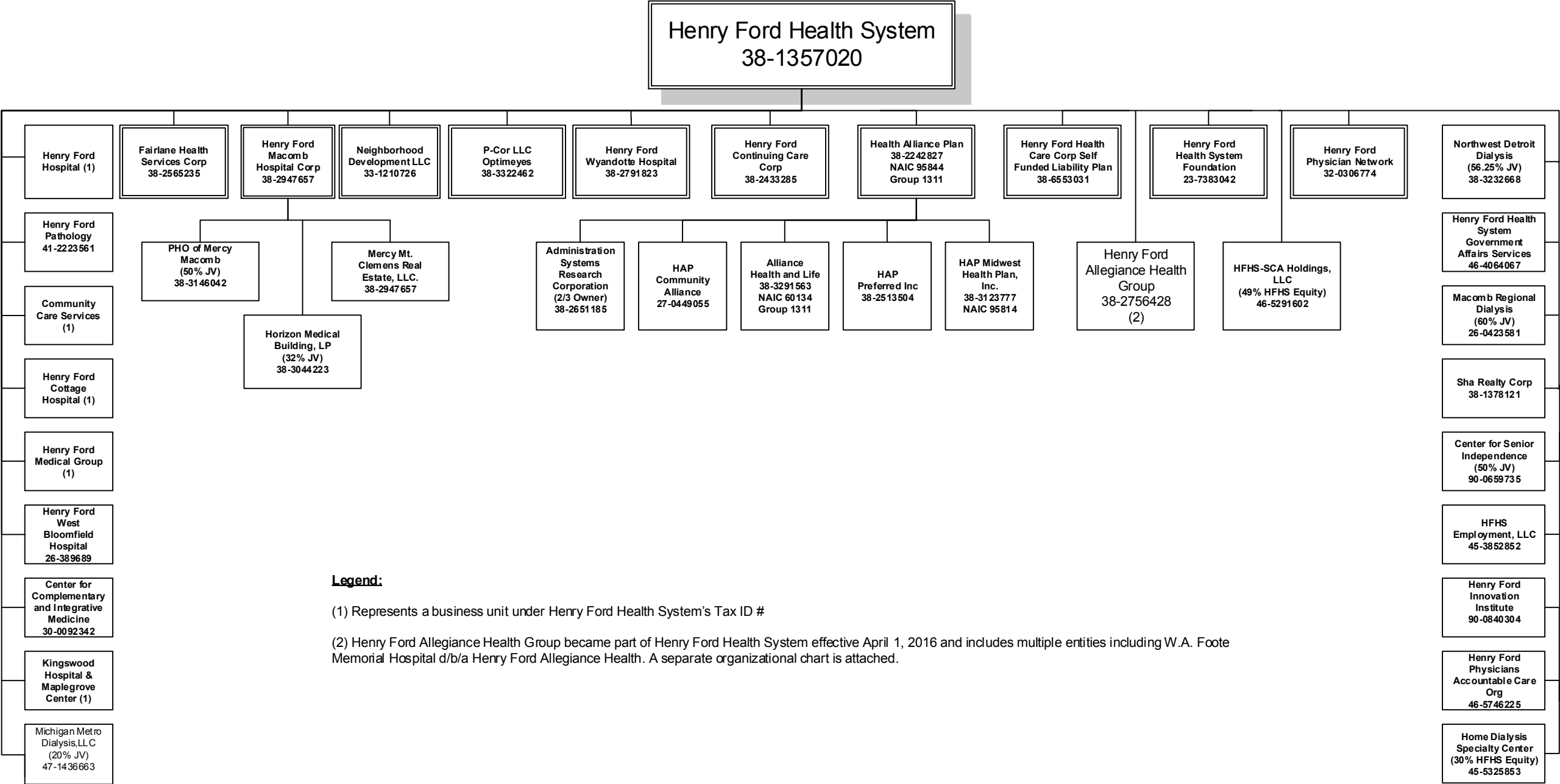
[illegible]

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

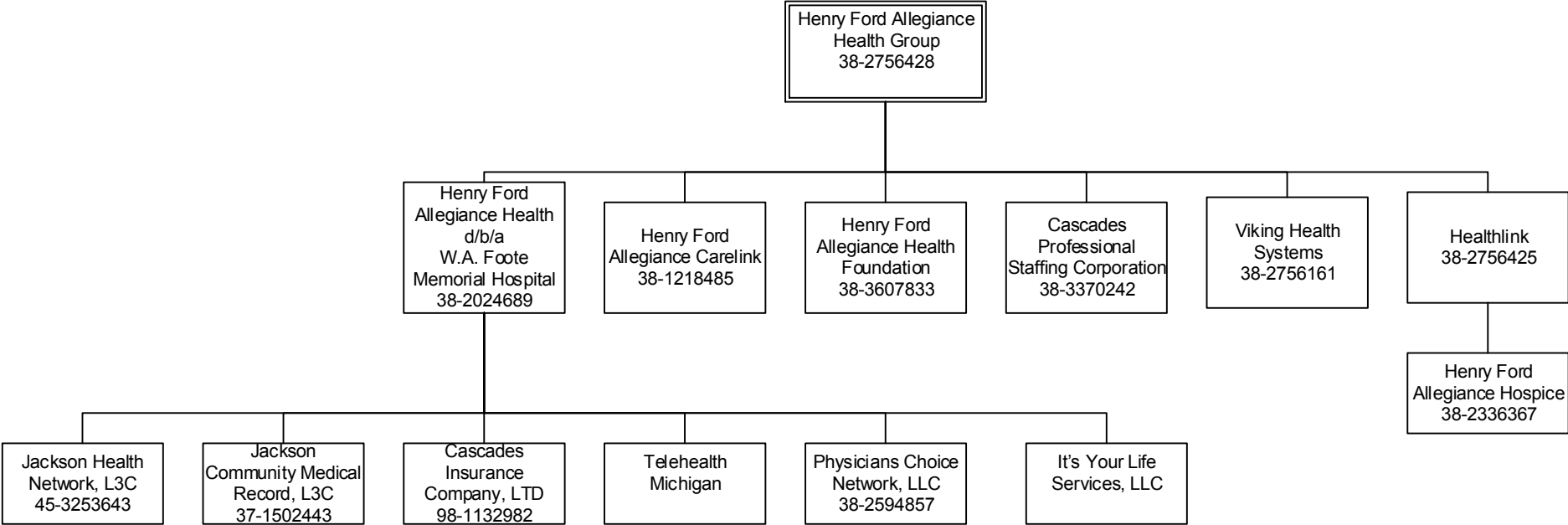
Current Year to Date - Allocated by States and Territories										
States, Etc.	1	Direct Business Only								
		2	3	4	5	6	7	8	9	
	Active Status	Accident & Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life & Annuity Premiums & Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts	
1. Alabama	AL	N						0		
2. Alaska	AK	N						0		
3. Arizona	AZ	N						0		
4. Arkansas	AR	N						0		
5. California	CA	N						0		
6. Colorado	CO	N						0		
7. Connecticut	CT	N						0		
8. Delaware	DE	N						0		
9. Dist. Columbia	DC	N						0		
10. Florida	FL	N						0		
11. Georgia	GA	N						0		
12. Hawaii	HI	N						0		
13. Idaho	ID	N						0		
14. Illinois	IL	N						0		
15. Indiana	IN	N						0		
16. Iowa	IA	N						0		
17. Kansas	KS	N						0		
18. Kentucky	KY	N						0		
19. Louisiana	LA	N						0		
20. Maine	ME	N						0		
21. Maryland	MD	N						0		
22. Massachusetts	MA	N						0		
23. Michigan	MI	L	836,051,628	525,939,528	94,832,408			1,456,823,564		
24. Minnesota	MN	N						0		
25. Mississippi	MS	N						0		
26. Missouri	MO	N						0		
27. Montana	MT	N						0		
28. Nebraska	NE	N						0		
29. Nevada	NV	N						0		
30. New Hampshire	NH	N						0		
31. New Jersey	NJ	N						0		
32. New Mexico	NM	N						0		
33. New York	NY	N						0		
34. North Carolina	NC	N						0		
35. North Dakota	ND	N						0		
36. Ohio	OH	N						0		
37. Oklahoma	OK	N						0		
38. Oregon	OR	N						0		
39. Pennsylvania	PA	N						0		
40. Rhode Island	RI	N						0		
41. South Carolina	SC	N						0		
42. South Dakota	SD	N						0		
43. Tennessee	TN	N						0		
44. Texas	TX	N						0		
45. Utah	UT	N						0		
46. Vermont	VT	N						0		
47. Virginia	VA	N						0		
48. Washington	WA	N						0		
49. West Virginia	WV	N						0		
50. Wisconsin	WI	N						0		
51. Wyoming	WY	N						0		
52. American Samoa	AS	N						0		
53. Guam	GU	N						0		
54. Puerto Rico	PR	N						0		
55. U.S. Virgin Islands	VI	N						0		
56. Northern Mariana Islands	MP	N						0		
57. Canada	CAN	N						0		
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	836,051,628	525,939,528	0	94,832,408	0	0	1,456,823,564	0	
60. Reporting entity contributions for Employee Benefit Plans	XXX							0		
61. Total (Direct Business)	(a) 1	836,051,628	525,939,528	0	94,832,408	0	0	1,456,823,564	0	
DETAILS OF WRITE-INS										
58001	XXX									
58002	XXX									
58003	XXX									
58998 Summary of remaining write-ins for Line 58 from overflow page.	XXX	0	0	0	0	0	0	0	0	
58999 Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0	0	0	

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and other Alien.

QUARTERLY STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan
 SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
 PART 1 – ORGANIZATIONAL CHART



QUARTERLY STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
01311	Henry Ford Health Systems Group	95844	38-2242827				Health Alliance Plan of Michigan	MI	RE	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-2513504				HAP Preferred Inc		DS	Health Alliance Plan of Michigan	Ownership	100.0	Henry Ford Health System	0
01311	Henry Ford Health Systems Group	60134	38-3291563				Alliance Health and Life Insurance Company	MI	DS	Health Alliance Plan of Michigan	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-2651185				Administration System Research Corporation		DS	Health Alliance Plan of Michigan	Ownership	66.7	Henry Ford Health System	0
	Henry Ford Health Systems Group		27-0449055				HAP Community Alliance		DS	Health Alliance Plan of Michigan	Ownership	100.0	Henry Ford Health System	0
01311	Henry Ford Health Systems Group	95814	38-3123777				HAP Midwest Health Plan, Inc.	MI	DS	Health Alliance Plan of Michigan	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-1357020				Henry Ford Health System		UDP			0.0		0
	Henry Ford Health Systems Group		38-2791823				Henry Ford Wyandotte		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-2947657				Henry Ford Macomb Hospital		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-3146042				PHO of Mercy Macomb		NIA	Henry Ford Health System	Ownership	50.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-2947657				Mercy Mt. Clemens Real Estate, LLC		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-2565235				Fairlane Health Services Corp		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		33-1210726				Neighborhood Development LLC		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		45-3852852				Henry Ford Health System Employment, LLC		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		90-0840304				Henry Ford Innovation Institute		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-2433285				Henry Ford Continuing Care Corp		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-6553031				Henry Ford Health Care Corp		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		23-7383042				Self Funded Liability Plan		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		23-7383042				Henry Ford Health System Foundation		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		32-0306774				Henry Ford Physician Network		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-3232668				Northwest Detroit Dialysis Centers		NIA	Henry Ford Health System	Ownership	56.3	Henry Ford Health System	0
	Henry Ford Health Systems Group		45-5325853				Home Dialysis Specialty Center		NIA	Henry Ford Health System	Ownership	30.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		26-0423581				Macomb Regional Dialysis Centers LLC		NIA	Henry Ford Health System	Ownership	60.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		38-1378121				Sha Realty Corp		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System	0
	Henry Ford Health Systems Group		90-0659735				Center for Senior Independence		NIA	Henry Ford Health System	Ownership	50.0	Henry Ford Health System	0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
	Henry Ford Health Systems Group.....		26-3896897				Henry Ford West Bloomfield.....		NIA.....	Henry Ford Health System.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		38-3322462				P Cor, LLC (d/b/a Optimeyes).....		NIA.....	Henry Ford Health System.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		41-2223561				Henry Ford Pathology.....		NIA.....	Henry Ford Health System.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		46-5746225				Henry Ford Physicians Accountable Care Org LLC.....		NIA.....	Henry Ford Health System.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		30-0092342				Center for Complementary and Integrative Medicine.....		NIA.....	Henry Ford Health System.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		46-4064067				Henry Ford Health Sys Government Affairs Services.....		NIA.....	Henry Ford Health System.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		38-3044223				Horizon Medical Building, LP.....		NIA.....	Henry Ford Health System.....	Ownership.....	32.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		46-5291602				HFHS-SCA Holdings, LLC.....		NIA.....	Henry Ford Health System.....	Ownership.....	49.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		47-1436663				Michigan Metro Dialysis, LLC.....		NIA.....	Henry Ford Health System.....	Ownership.....	20.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		38-2756428				Henry Ford Allegiance Health Group.....		NIA.....	Henry Ford Health System.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		38-2024689				Henry Ford Allegiance Health.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		38-1218485				Henry Ford Allegiance Carelink.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		38-3607833				Henry Ford Allegiance Health Foundation.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		38-3370242				Cascades Professional Staffing Corporation.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		37-2756161				Viking Health Systems.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		38-2756425				Healthlink.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		45-3253643				Jackson Health Network, L3C.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		98-1132982				Cascades Insurance Company, LTD.....		IA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....						Telehealth Michigan.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		38-2594857				Physicians Choice Network, LLC.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....						It's Your Life Services, LLC.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		38-2336367				Henry Ford Allegiance Hospice.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
	Henry Ford Health Systems Group.....		37-1502443				Jackson Community Medical Record, L3C.....		NIA.....	Henry Ford Allegiance Health Group.....	Ownership.....	100.0	Henry Ford Health System.....	0
												0.0		0
												0.0		0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

.....SEE EXPLANATION.....

Explanation:

1. Health Alliance Plan offers Medicare Part D through a Medicare Advantage plan

Bar Code:

OVERFLOW PAGE FOR WRITE-INS

MQ002 Additional Aggregate Lines for Page 02 Line 25.
*ASSETS

	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
2504. Other Receivables.....	37,737		37,737	31,304
2505.			0	0
2506.			0	0
2597. Summary of remaining write-ins for Line 25 from Page 02	37,737	0	37,737	31,304

MQ003 Additional Aggregate Lines for Page 03 Line 23.
*LIAB

	1	2	3	4
	Covered	Uncovered	Total	Total
2304. Other Post-Employment Benefits Obligation.....	1,301,077		1,301,077	382,403
2305. Liability for CMS Coverage Gap Discount Program.....	1,094,517		1,094,517	92,077
2397. Summary of remaining write-ins for Line 23 from Page 03	2,395,594	0	2,395,594	474,480

MQ004 Additional Aggregate Lines for Page 04 Line 29.
*REVEX1

	1	2	3	4
	Current Year To Date Uncovered	Current Year To Date Total	Prior Year To Date Total	Prior Year Ended December 31 Total
2904. Realized Gain on Sale of Membership.....			3,084,900	1,819,900
2997. Summary of remaining write-ins for Line 29 from Page 04	0	0	3,084,900	1,819,900

MQ005 Additional Aggregate Lines for Page 05 Line 47.
*REVEX2

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
4704. 2015 and 2014 Audit Adjustments.....	4,964,803	(3,162,743)	(3,162,743)
4797. Summary of remaining write-ins for Line 47 from Page 05	4,964,803	(3,162,743)	(3,162,743)

SCHEDULE A – VERIFICATION

Real Estate

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	12,201,916	12,933,156
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		1,331,908
2.2 Additional investment made after acquisition	591,467	0
3. Current year change in encumbrances		0
4. Total gain (loss) on disposals		(200,899)
5. Deduct amounts received on disposals	8,071,944	62,401
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other-than-temporary impairment recognized		0
8. Deduct current year's depreciation	460,486	1,799,848
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	4,260,953	12,201,916
10. Deduct total nonadmitted amounts	171,435	8,286,567
11. Statement value at end of current period (Line 9 minus Line 10)	4,089,518	3,915,349

SCHEDULE B – VERIFICATION

Mortgage Loans

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		0
2.2 Additional investment made after acquisition		0
3. Capitalized deferred interest and other		0
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)		0
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals		0
8. Deduct amortization of premium and mortgage interest points and commitment fees		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		0
10. Deduct current year's other-than-temporary impairment recognized		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Total valuation allowance		0
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	10,604,319	10,715,151
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		0
2.2 Additional investment made after acquisition		0
3. Capitalized deferred interest and other		0
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)	624,359	(110,832)
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals		0
8. Deduct amortization of premium and depreciation		0
9. Total foreign exchange change in book/adjusted carrying value		0
10. Deduct current year's other-than-temporary impairment recognized		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	11,228,678	10,604,319
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	11,228,678	10,604,319

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	214,651,518	268,500,391
2. Cost of bonds and stocks acquired	73,024,581	64,942,066
3. Accrual of discount	69,361	80,788
4. Unrealized valuation increase (decrease)	7,222,293	(42,380,948)
5. Total gain (loss) on disposals	(5,694,019)	642,378
6. Deduct consideration for bonds and stocks disposed of	75,359,284	39,665,158
7. Deduct amortization of premium	344,112	598,908
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other-than-temporary impairment recognized		36,869,091
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	213,570,338	214,651,518
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	213,570,338	214,651,518

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	262,034,062	532,968,536	481,927,969	77,190	242,479,351	262,034,062	313,151,818	243,640,672
2. NAIC 2 (a).....	11,407,758	337,029	996,853	(175,631)	10,895,608	11,407,758	10,572,302	10,611,018
3. NAIC 3 (a).....	70,058		4,983	4,946	72,353	70,058	70,022	110,294
4. NAIC 4 (a).....	48,988		33,109	5,297	73,663	48,988	21,176	0
5. NAIC 5 (a).....	206,392		35,791	1,493	348,553	206,392	172,095	238,577
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds	273,767,258	533,305,565	482,998,706	(86,705)	253,869,527	273,767,258	323,987,413	254,600,561
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	273,767,258	533,305,565	482,998,706	(86,705)	253,869,527	273,767,258	323,987,413	254,600,561

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$0 ; NAIC 2 \$0 ;
NAIC 3 \$0 ; NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

SCHEDULE DA - PART 1
Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	272,517,259	XXX	272,517,259	241,749	

SCHEDULE DA - VERIFICATION
Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	194,031,375	186,614,787
2. Cost of short-term investments acquired	1,372,504,458	1,568,151,050
3. Accrual of discount	117	4
4. Unrealized valuation increase (decrease).....		0
5. Total gain (loss) on disposals	239	(2)
6. Deduct consideration received on disposals	1,294,018,931	1,560,690,097
7. Deduct amortization of premium.....		44,367
8. Total foreign exchange change in book/adjusted carrying value.....		0
9. Deduct current year's other-than-temporary impairment recognized.....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	272,517,259	194,031,375
11. Deduct total nonadmitted amounts.....		0
12. Statement value at end of current period (Line 10 minus Line 11)	272,517,259	194,031,375

Schedule DB - Part A - Verification
NONE

Schedule DB - Part B - Verification
NONE

Schedule DB - Part C - Section 1
NONE

Schedule DB - Part C - Section 2
NONE

Schedule DB - Verification
NONE

Schedule E - Verification
NONE

EO1

NONE

[illegible]

NONE

[illegible]

Schedule B - Part 2
NONE

Schedule B - Part 3
NONE

Schedule BA - Part 2
NONE

Schedule BA - Part 3
NONE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator ^(a)
Bonds - U.S. Governments									
912828-2G-4	UNITED STATES TREASURY		09/29/2016	Goldman Sachs		2,870,561	2,870,000	1,249	1
912828-S4-3	UNITED STATES TREASURY		09/26/2016	VARIOUS		1,444,103	1,449,000	993	1
0599999 - Bonds - U.S. Governments						4,314,663	4,319,000	2,242	XXX
Bonds - U.S. Special Revenue									
31393D-6J-5	CMO/RMBS - FNR 0376L EZ		09/01/2016	Direct		1,435	1,435		1
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						1,435	1,435	0	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)									
00206R-CS-9	AT&T INC.		08/22/2016	PIERPONT SECURITIES		31,813	30,000	21	2FE
00912X-AT-1	AIR LEASE CORP.		08/08/2016	Montgomery		5,919	6,000		2FE
00912X-AU-8	AIR LEASE CORP.		09/26/2016	Montgomery		12,954	13,000		2FE
0258M0-DX-4	AMERICAN EXPRESS CREDIT CORP.		08/16/2016	Morgan Stanley		9,287	9,000	101	1FE
035242-AL-0	ANHEUSER-BUSCH INBEV FINANCE INC.	R	08/31/2016	US BANCORP INVESTMENTS INC.		42,088	40,000	128	1FE
10112R-AW-4	BOSTON PROPERTIES LP		09/20/2016	Keybank		10,672	10,000	55	2FE
12591Q-AW-4	COMM 14UBS4 A2 - CMBS		08/18/2016	SALOMON BROTHERS INC.		170,483	164,000	297	1FM
126650-CC-2	CVS CAREMARK CORP.		07/22/2016	PIERPONT SECURITIES		14,448	13,000	75	2FE
14040H-BJ-3	CAPITAL ONE FINANCIAL CORP.		09/01/2016	Adjustment		(20,266)	(20,000)	(1)	2FE
161571-HH-0	CHAIT 167 A - ABS		09/06/2016	JPMORGAN CHASE BANK		168,985	169,000		1FE
172967-JL-6	CITIGROUP INC.		09/01/2016	Adjustment		(14,889)	(15,000)	(53)	2FE
20826F-AS-5	CONOCOPHILLIPS CO.		09/26/2016	Goldman Sachs		8,658	8,000	13	1FE
23341J-AB-4	DTAOT 164 B - ABS		09/28/2016	GUGGENHEIM SECURITIES, LLC		36,998	37,000		1FE
30219G-AQ-1	EXPRESS SCRIPTS HOLDING CO.		08/16/2016	Goldman Sachs		20,318	20,000	73	2FE
30231G-AR-3	EXXON MOBIL CORP.		09/29/2016	Morgan Stanley		7,281	7,000	17	1FE
349553-AJ-6	FORTIS INC.	I	09/29/2016	Goldman Sachs		9,975	10,000		2FE
38141G-VR-2	GOLDMAN SACHS GROUP INC.		09/01/2016	Adjustment		(10,225)	(10,000)	(15)	2FE
38143U-8F-1	GOLDMAN SACHS GROUP INC.		07/14/2016	Morgan Stanley		10,261	10,000	115	1FE
40414L-AJ-8	HCP INC.		07/07/2016	DAVIDSON (D.A.) & CO. INC.		20,951	20,000	135	2FE
446150-AK-0	HUNTINGTON BANCSHARES INC.		08/04/2016	Goldman Sachs		13,979	14,000		2FE
46186J-AA-5	IHSFR 15SFR2 A - ABS		09/13/2016	Wachovia Bank		106,371	105,715	164	1FE
534187-BC-2	LINCOLN NATIONAL CORP.		07/22/2016	VARIOUS		16,074	15,000	231	2FE
577778-CE-1	MACYS RETAIL HOLDINGS INC.		09/29/2016	First Tennessee Bank		3,333	3,000	44	2FE
61747Y-DU-6	MORGAN STANLEY		09/01/2016	Adjustment		(15,599)	(15,000)	(280)	2FE
61945C-AC-7	MOSAIC CO.		09/23/2016	Keybank		12,790	12,000	188	2FE
68389X-BK-0	ORACLE CORP.		09/09/2016	US BANCORP INVESTMENTS INC.		19,067	19,000	57	1FE
822582-BU-5	SHELL INTERNATIONAL FINANCE BV	R	09/07/2016	Goldman Sachs		303,912	304,000		1FE
8426EP-AA-6	SOUTHERN COMPANY GAS CAPITAL CORP.		09/08/2016	MIZUHO SECURITIES USA INC.		3,997	4,000		2FE
85771P-AF-9	STATOIL ASA	R	08/23/2016	Keybank		5,314	5,000	14	1FE
86562M-AF-7	SUMITOMO MITSUI FINANCIAL GROUP INC.	R	07/08/2016	SALOMON BROTHERS INC.		8,008	8,000		1FE
88167A-AB-7	TEVA PHARMACEUTICAL FINANCE NETHERLANDS	R	07/18/2016	Barclays Bank		131,988	132,000		2FE
92347X-AA-4	ABS - VZOT 161 A		07/12/2016	Montgomery		179,970	180,000		1FE
92938E-AF-0	WFCM 13C16 A2 - CMBS		08/29/2016	SALOMON BROTHERS INC.		210,957	204,000		1FM
92939L-AB-2	WFRBS 14C25 A2 - CMBS		09/15/2016	SALOMON BROTHERS INC.		94,668	91,000	141	1FE
931427-AP-3	WALGREENS BOOTS ALLIANCE INC.		08/03/2016	PIERPONT SECURITIES		27,818	27,000	146	2FE
94974B-FJ-4	WELLS FARGO & CO.		09/01/2016	Adjustment		(10,253)	(10,000)	(78)	1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						1,648,105	1,619,715	1,588	XXX
Bonds - Hybrid Securities									
14040H-BJ-3	CAPITAL ONE FINANCIAL CORP.		09/01/2016	Adjustment		20,266	20,000	1	2FE
172967-JL-6	CITIGROUP INC.		09/01/2016	Adjustment		15,889	15,000	53	2FE
38141G-VR-2	GOLDMAN SACHS GROUP INC.		09/01/2016	Adjustment		10,225	10,000	15	2FE
61747Y-DU-6	MORGAN STANLEY		09/01/2016	Adjustment		15,599	15,000	280	2FE
94974B-FJ-4	WELLS FARGO & CO.		09/01/2016	Adjustment		10,253	10,000	78	1FE
4899999 - Bonds - Hybrid Securities						71,233	70,000	428	XXX
8399997 - Subtotals - Bonds - Part 3						6,035,436	6,010,150	4,257	XXX
8399999 - Subtotals - Bonds						6,035,436	6,010,150	4,257	XXX
Common Stocks - Mutual Funds									
111994-90-1	JP MORGAN CORE BOND FUND		09/28/2016	Dividend Reinvestment	13,353.880	144,136		0	L
258620-86-3	DOUBLELINE LOW DURATION BD FD		09/30/2016	Dividend Reinvestment	8,878.580	89,407		0	L

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

[illegible]

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0

E04.1

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments																					
36179M-E9-7	RMBS - G2 MA0160		09/20/2016	Direct		321	321	366	359			(3)		(3)	355		(35)	(35)	14	12/20/2039	1
3622A2-P6-3	RMBS - G2 784045		09/20/2016	Direct		9,993	9,993	10,792	10,779			(36)		(36)	10,743		(750)	(750)	299	07/20/2045	1
38373V-WZ-9	CMO/RMBS - GNR 0269C BE		09/20/2016	Direct		1,214	1,214	1,336	1,327			(4)		(4)	1,323		(109)	(109)	45	10/20/2032	1
38374B-NY-5	GNR 0358A PC - CMO/RMBS		09/20/2016	Direct		834	834	909	893			(8)		(8)	885		(51)	(51)	31	07/20/2033	1
38374B-0X-4	CMO/RMBS - GNR 0365A AP		09/20/2016	Direct		1,744	1,744	1,985				(3)		(3)	1,982		(239)	(239)	75	08/20/2033	1
38374D-7K-9	CMO/RMBS - GNR 0841A PE		09/20/2016	Direct		9,216	9,216	10,524	10,293			(51)		(51)	10,242		(1,026)	(1,026)	333	05/20/2038	1
38374K-LR-2	CMO/RMBS - GNR 056C UY		09/20/2016	Direct		3,065	3,065	3,326	3,356			(28)		(28)	3,328		(263)	(263)	103	10/20/2034	1
38374K-P2-3	CMO/RMBS - GNR 0526C XY		09/20/2016	Direct		17,935	17,935	20,282	19,911			(19)		(19)	19,891		(1,957)	(1,957)	658	03/20/2035	1
38375B-D7-4	CMO/RMBS - GNR 12H31 FD		09/20/2016	Direct		2,671	2,671	2,653	2,629			3		3	2,606		65	65	13	12/20/2062	1
38375J-YC-3	CMO/RMBS - GNR 0722A PK		09/20/2016	Direct		942	942	1,071	1,056			(6)		(6)	1,049		(107)	(107)	35	04/20/2037	1
38375P-AJ-0	CMO/RMBS - GNR 083A PH		09/20/2016	Direct		1,998	1,998	2,148	2,123			(12)		(12)	2,111		(113)	(113)	74	01/20/2038	1
38375Q-D8-9	CMO/RMBS - GNR 0850C KB		09/20/2016	Direct		6,444	6,444	7,110	7,125			12		12	7,137		(693)	(693)	252	06/20/2038	1
38375X-Z7-2	CMO/RMBS - GNR 0865A PG		09/20/2016	Direct		1,660	1,660	1,856	1,823			(11)		(11)	1,812		(153)	(153)	66	08/20/2038	1
38376C-R7-6	CMO/RMBS - GNR 0992D FC		09/16/2016	Direct		8,758	8,758	8,780				0		0	8,780		(22)	(22)	18	10/16/2039	1
62888X-AB-0	CMBS - NGN 10C1 A2		08/29/2016	Direct		2,421	2,421	2,585	2,503			(82)		(82)	2,421		0	0	43	10/29/2020	1
83162C-PG-2	ABS - SBAP 0520A A		07/01/2016	Direct		13,603	13,603	14,492	14,225			(31)		(31)	14,194		(591)	(591)	661	01/01/2025	1
912828-05-2	UNITED STATES TREASURY		09/30/2016	VARIOUS		926,388	925,000	922,294				302		302	922,596		3,792	3,792	3,075	04/15/2019	1
912828-R4-4	UNITED STATES TREASURY		09/29/2016	Goldman Sachs		2,107,645	2,106,000	2,096,622				1,032		1,032	2,097,654		9,992	9,992	6,910	05/15/2019	1
912828-RJ-1	UNITED STATES TREASURY		09/30/2016	Maturity		150,000	150,000	150,779	150,119			(119)		(119)	150,000		0	0	1,500	09/30/2016	1
0599999 - Bonds - U.S. Governments						3,266,852	3,263,818	3,259,908	228,520	0	937	0	937	0	3,259,111	0	7,741	7,741	14,206	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																					
3128HX-5U-5	CMO/RMBS - FHR S276A A5		09/15/2016	Direct		2,922	2,922	2,779	2,783			5		5	2,789		133	133	58	09/15/2042	1
3128M1-M0-9	RMBS - FH G12267		09/15/2016	Direct		1,002	1,002	1,106	1,074			(9)		(9)	1,065		(63)	(63)	43	07/01/2021	1
3128M6-6A-1	RMBS - FH G05065		09/15/2016	Direct		83	83	92	94			4		4	97		(15)	(15)	4	12/01/2038	1
3128P7-D7-5	RMBS - FH C91026		09/15/2016	Direct		563	563	609	606			(4)		(4)	602		(39)	(39)	20	04/01/2027	1
3128P7-M6-7	RMBS - FH C91281		09/15/2016	Direct		2,732	2,732	2,908	2,882			(3)		(3)	2,879		(147)	(147)	81	12/01/2029	1
3128P7-NL-3	RMBS - FH C91295		09/15/2016	Direct		1,751	1,751	1,856	1,845			(2)		(2)	1,843		(92)	(92)	53	04/01/2030	1
3128PR-JW-0	RMBS - FH J12077		09/15/2016	Direct		2,476	2,476	2,647	2,612			(6)		(6)	2,606		(131)	(131)	74	04/01/2025	1
31300L-WJ-0	RMBS - FH B48749		09/14/2016	Direct		3,823	3,823	4,087	4,031			23		23	4,054		(230)	(230)	73	05/01/2035	1
31300M-LY-7	RMBS - FH B49343		09/14/2016	Direct		10,049	10,049	10,331				6		6	10,337		(288)	(288)	31	08/01/2043	1
3136A0-K4-8	CMO/RMBS - FNR 1186B KF		09/26/2016	Direct		2,677	2,677	2,675	2,681			0		0	2,681		(4)	(4)	18	09/25/2041	1
3136A9-P8-5	CMO/RMBS - FNR 12120A AH		09/26/2016	Direct		2,788	2,788	2,677	2,692			(4)		(4)	2,688		100	100	48	02/25/2032	1
3136AD-GY-9	CMO/RMBS - FNR 1331K ET		09/26/2016	Direct		4,881	4,881	5,076	5,074			(31)		(31)	5,042		(161)	(161)	131	01/25/2033	1
3136AJ-2A-3	CMBS - FN 14M05A FA		09/26/2016	Direct		1,281	1,281	1,280				0		0	1,280		0	0	7	01/25/2017	1
3136AJ-D3-7	CMO/RMBS - FNR 1426C YD		09/26/2016	Direct		12,879	12,879	12,947	12,948			(15)		(15)	12,933		(54)	(54)	172	04/25/2044	1
31371N-U4-5	RMBS - FN 257203		09/26/2016	Direct		746	746	776	774			(2)		(2)	774		(29)	(29)	24	05/01/2028	1
31371P-AJ-9	RMBS - FN 257509		09/26/2016	Direct		1,042	1,042	1,135	1,083			(10)		(10)	1,073		(31)	(31)	45	11/01/2018	1
3137A0-VG-7	CMO/RMBS - FHR 3705A CA		09/15/2016	Direct		563	563	573	570			(2)		(2)	568		(5)	(5)	11	08/15/2020	1
3137A2-C7-4	CMO/RMBS - FHR 3757A PF		09/15/2016	Direct		8,135	8,135	8,180	8,186			23		23	8,208		(74)	(74)	50	08/15/2040	1
3137A7-FZ-8	CMO/RMBS - FHR 3800D AF		09/15/2016	Direct		1,891	1,891	1,882	1,873			1		1	1,874		17	17	12	02/15/2041	1
3137A7-XV-7	CMO/RMBS - FHR 3827C BM		09/15/2016	Direct		4,510	4,510	4,956	4,931			(21)		(21)	4,910		(400)	(400)	163	08/15/2039	1
3137AJ-YX-6	CMO/RMBS - FHR 3977C AD		09/15/2016	Direct		8,756	8,756	9,076	8,959			(45)		(45)	8,914		(158)	(158)	175	09/15/2029	1
3137AM-TT-4	CMO/RMBS - FHR 4010B KM		09/15/2016	Direct		1,836	1,836	1,790	1,788			2		2	1,790		46	46	36	01/15/2042	1
3137AS-CR-3	CMO/RMBS - FHR 4077C PJ		09/15/2016	Direct		3,069	3,069	3,130	3,123			(5)		(5)	3,118		(49)	(49)	72	11/15/2040	1
3137B2-NA-4	CMO/RMBS - FHR 4219C JA		09/15/2016	Direct		7,042	7,042	7,418	7,358			(48)		(48)	7,310		(268)	(268)	164	08/15/2039	1
3137B6-YL-9	CMO/RMBS - FHR 4287B FB		09/15/2016	Direct		5,476	5,476	5,442	5,441			15		15	5,457		19	19	25	11/15/2033	1
3138EG-HF-4	RMBS - FN AL0229		09/26/2016	Direct		1,402	1,402	1,532	1,482			(9)		(9)	1,473		(71)	(71)	51	09/01/2023	1
3138EQ-GD-8	RMBS - FN AL7395		09/26/2016	Direct		8,936	8,936	10,076	10,064			75		75	10,137		(1,201)	(1,201)	333	03/01/2038	1
3138ET-G5-9	RMBS - FN AL8319		09/26/2016	Direct		11,089	11,089	11,470				4		4	11,474		(385)	(385)	58	10/01/2042	1
3138ET-M4-5	RMBS - FN AL8478		09/26/2016	Direct		27,214	27,214	28,284				41		41	28,325						

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Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
		F o r e i g n								11	12	13	14	15							
CUSIP Identi- fication	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Dividends Received During Year	Stated Contractual Maturity Date	NAIC Design- ation or Market Indicator (a)
31394A-EC-6	CMO/RMBS - FNR 0452B NE	09/26/2016	Direct		4,395	4,395	4,697	4,587		(60)		(60)		4,528		(133)	(133)	133	07/25/2033	1	
31394B-5A-8	CMO/RMBS - FNR 055B PA	09/26/2016	Direct		2,037	2,037	2,155	2,138		(10)		(10)		2,128		(91)	(91)	69	01/25/2035	1	
31394D-A4-2	CMO/RMBS - FNR 0548B AR	09/26/2016	Direct		2,149	2,149	2,313	2,268		(15)		(15)		2,253		(104)	(104)	78	02/25/2035	1	
31394E-FT-0	CMO/RMBS - FNR 0564B PL	09/26/2016	Direct		2,083	2,083	2,267	2,241		(1)		(1)		2,240		(157)	(157)	76	07/25/2035	1	
31394F-J5-5	CMO/RMBS - FNR 0584A XG	09/26/2016	Direct		3,513	3,513	3,797	3,688		(53)		(53)		3,635		(122)	(122)	134	02/25/2035	1	
31394F-N9-2	CMO/RMBS - FNR 0584B MB	09/26/2016	Direct		3,122	3,122	3,403	3,361		(3)		(3)		3,358		(236)	(236)	119	10/25/2035	1	
31394H-JF-9	CMO/RMBS - FHR 2656B BG	09/15/2016	Direct		3,944	3,944	4,245	4,123		(80)		(80)		4,043		(98)	(98)	132	10/15/2032	1	
31394L-4E-9	CMO/RMBS - FHR 2684A PH	07/15/2016	Direct		1,475	1,475	1,569	1,509		(30)		(30)		1,479		(4)	(4)	43	01/15/2033	1	
31394P-SZ-7	CMO/RMBS - FHR 2746A EG	09/15/2016	Direct		2,114	2,114	2,263	2,167		(10)		(10)		2,157		(44)	(44)	63	02/15/2019	1	
31395F-NK-6	CMO/RMBS - FHR 2853C EY	09/15/2016	Direct		1,018	1,018	1,071	1,047		1		1		1,048		(30)	(30)	31	09/15/2024	1	
31395W-SH-3	CMO/RMBS - FHR 2933A HD	09/15/2016	Direct		3,172	3,172	3,443	3,404		(2)		(2)		3,402		(229)	(229)	116	02/15/2035	1	
31395U-MU-2	CMO/RMBS - FHR 2979H MC	09/15/2016	Direct		3,652	3,652	3,939	3,764		(28)		(28)		3,735		(84)	(84)	122	05/15/2020	1	
31395X-ZU-2	CMO/RMBS - FHR 3017C MK	09/15/2016	Direct		2,209	2,209	2,328	2,299		(22)		(22)		2,277		(68)	(68)	74	12/15/2034	1	
31396L-TA-8	CMO/RMBS - FNR 06108B FD	09/26/2016	Direct		6,223	6,223	6,219	6,229		0		0		6,229		(5)	(5)	34	11/25/2036	1	
31396Q-FC-8	CMO/RMBS - FNR 0947A PA	09/26/2016	Direct		1,750	1,750	1,836	1,835		4		4		1,839		(89)	(89)	53	07/25/2039	1	
31396Q-MN-6	CMO/RMBS - FNR 0950L PT	09/26/2016	Direct		1,848	1,848	2,012	2,030		(5)		(5)		2,025		(176)	(176)	75	05/25/2037	1	
31396Q-TN-9	CMO/RMBS - FNR 0962B HJ	09/26/2016	Direct		2,010	2,010	2,236	2,217		(8)		(8)		2,208		(198)	(198)	80	05/25/2039	1	
31396W-FQ-4	CMO/RMBS - FNR 0754J FA	09/26/2016	Direct		7,949	7,949	7,833	7,108		(10)		(10)		7,837		112	112	33	06/25/2037	1	
31396W-TU-0	CMO/RMBS - FNR 0763D FC	09/26/2016	Direct		3,395	3,395	3,373	3,380		0		0		3,380		14	14	18	07/25/2037	1	
31396X-NT-7	CMO/RMBS - FNR 0796A AF	09/26/2016	Direct		4,190	4,190	4,213	4,223		7		7		4,230		(40)	(40)	32	06/25/2037	1	
31396Y-H2-1	CMO/RMBS - FNR 0829D CA	09/26/2016	Direct		1,436	1,436	1,500	1,473		(14)		(14)		1,459		(23)	(23)	43	09/25/2035	1	
31397B-5P-2	CMO/RMBS - FHR 3211D FG	09/15/2016	Direct		1,608	1,608	1,599	1,601		4		4		1,605		3	3	9	09/15/2036	1	
31397H-D7-0	CMO/RMBS - FHR 33186 DB	09/15/2016	Direct		4,133	4,133	4,605	4,605		(22)		(22)		4,583		(450)	(450)	122	05/15/2027	1	
31397N-L4-5	CMO/RMBS - FNR 0941A WA	09/26/2016	Direct		1,186	1,186	1,309	1,296		(4)		(4)		1,293		(107)	(107)	54	06/25/2039	1	
31397U-XA-2	CMO/RMBS - FNR 1161C MG	09/26/2016	VARIOUS		49,177	46,146	48,727	48,139		(151)		(151)		47,989		1,188	1,188	1,499	07/25/2026	1	
31398K-ZB-9	CMO/RMBS - FHR 3598A MA	09/15/2016	Direct		1,146	1,146	1,198	1,171		(19)		(19)		1,152		(6)	(6)	34	11/15/2038	1	
31398Q-LI-9	CMO/RMBS - FHR 3685D OB	09/15/2016	Direct		6,154	6,154	6,658	6,460		(27)		(27)		6,433		(279)	(279)	165	06/15/2025	1	
31398R-RT-4	CMO/RMBS - FNR 1061E HJ	09/26/2016	Direct		6,260	6,260	6,682	6,605		(138)		(138)		6,467		(207)	(207)	187	09/25/2039	1	
31398R-UH-6	CMO/RMBS - FNR 1054H EA	09/26/2016	Direct		2,140	2,140	2,236	2,221		(4)		(4)		2,217		(77)	(77)	64	06/25/2040	1	
31398R-XN-0	CMO/RMBS - FNR 1058F FY	09/26/2016	Direct		4,711	4,711	4,746	4,792		(21)		(21)		4,772		(61)	(61)	37	06/25/2040	1	
31402N-YN-2	RMBS - FN 734317	09/26/2016	Direct		2,402	2,402	2,632	2,617		(7)		(7)		2,610		(208)	(208)	80	10/01/2033	1	
31403C-4P-3	RMBS - FN 745230	09/26/2016	Direct		1,656	1,656	1,832	1,822		(11)		(11)		1,811		(155)	(155)	69	05/01/2035	1	
31403D-DB-2	RMBS - FN 745398	09/26/2016	Direct		1,042	1,042	1,159	1,142		(6)		(6)		1,135		(93)	(93)	42	06/01/2035	1	
31417Y-MC-8	RMBS - FN MA0354	09/26/2016	Direct		4,946	4,946	5,399	5,381		(15)		(15)		5,366		(420)	(420)	165	03/01/2030	1	
31418A-M9-6	RMBS - FN MA1283	09/26/2016	Direct		3,312	3,312	3,262	3,267		2		2		3,268		43	43	75	12/01/2042	1	
31418W-OV-7	RMBS - FN AD0467	09/26/2016	Direct		2,203	2,203	2,414	2,362		(18)		(18)		2,345		(141)	(141)	90	04/01/2023	1	
31418Y-BA-3	RMBS - FN AD9932	09/26/2016	Direct		3,038	3,038	3,293	3,240		(17)		(17)		3,223		(184)	(184)	101	07/01/2025	1	
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						318,881	315,850	330,773	264,933	0	(867)	0	(867)	0	327,238	0	(8,358)	(8,358)	7,018	XXX	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
001192-AF-0	AGL CAPITAL CORP		07/15/2016	Maturity		25,000	25,000	29,361	25,558		(558)		(558)		25,000			0	1,594	07/15/2016	2FE
00206R-AY-8	AT&T INC		08/15/2016	Maturity		193,000	193,000	199,022	194,861		(1,861)		(1,861)		193,000			0	4,632	08/15/2016	2FE
00206R-BD-3	AT&T INC		08/22/2016	PIERPONT SECURITIES		31,092	30,000	30,093	30,082		(8)		(8)		30,074		1,018	923	02/15/2022	2FE	
02006U-AD-5	ABS - ALLYA 14SN2 A3		09/20/2016	Direct		104,235	104,235	104,215	104,225		7		7		104,232		3	3	717	09/20/2017	1FE
02006X-AD-9	ALLYA 15SN1 A3 - ABS		09/20/2016	Direct		2,011	2,011	2,011	2,011		0		0		2,011		0	0	18	12/20/2017	1FE
02528U-AA-0	ABS - ACAR 151 A		09/12/2016	Direct		12,849	12,849	12,813	12,813		7		7		12,820		29	29	77	08/12/2019	1FE
02529B-AA-1	ABS - ACAR 153 A		09/12/2016	Direct		9,809	9,809	9,808	9,808		0		0		9,808		0	0	128	09/12/2019	1FE
02529C-AA-9	ABS - ACAR 144 A		08/10/2016	Direct		824	824	824	824		0		0		824		0	0	6	07/10/2018	1FE
02529D-AA-7	ABS - ACAR 152 A		09/12/2016	Direct		8,716	8,716	8,716	8,716		0		0		8,716		0	0	92	06/12/2019	1FE
0258M0-DK-2	AMERICAN EXPRESS CREDIT CORP		08/16/2016	Morgan Stanley		9,179	9,000	9,012	9,011		(2)		(2)		9,009		170	170	176	03/18/2019	1FE
02665U-AA-3	ABS - AH4R 14SFR2 A		09/19/2016	Direct		661	661	702	702		(4)										

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1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
126189-AA-7...	ABS - CPS 15B A...		09/15/2016	Direct.....		21,996	21,996	21,996	21,996		.0		.0		21,996		.0	.0	241	..11/15/2019...	1FE...
12624X-AA-6...	CMBS - COMM 13CRE6 A1...		09/12/2016	Direct.....		10,572	10,572	10,483		.15			.15		10,498		.74	.74	32	..03/12/2046...	1FE...
12632V-AA-0...	ABS - CPS 14C A...		09/15/2016	Direct.....		8,645	8,645	8,645	8,786	(60)			(60)		8,726		(81)	(81)	75	..02/15/2019...	1FE...
126650-CK-4...	CYS HEALTH CORP.		07/22/2016	PIERPONT SECURITIES...		14,077	13,000	12,994	12,995	.0			.0		12,995		1,082	1,082	464	..07/20/2022...	2FE...
13975N-AB-9...	AFIN 161 A2A - ABS...		09/20/2016	Direct.....		1,138		1,138		.0			.0		1,138			.0	9	..11/20/2018...	1FE...
14040H-AX-3...	CAPITAL ONE FINANCIAL CORP.		07/15/2016	Maturity.....		20,000	20,000	20,501	20,063		(63)		(63)		20,000			.0	630	..07/15/2016...	2FE...
14178U-AA-8...	ABS - CFCAT 141 A...		09/15/2016	Direct.....		1,301	1,301	1,301	1,317	(9)			(9)		1,308		(7)	(7)	13	..12/17/2018...	1FE...
14366X-AA-4...	ABS - CNART 151 A...		09/15/2016	Direct.....		15,639	15,639	15,639		.0			.0		15,639			.0	176	..01/15/2020...	1FE...
17307G-EB-0...	CMO/RMBS - CMLTI 04HYB2 1A...		09/26/2016	Direct.....		.450	.450	.429	.420		(4)		(4)		.416		.34	.34	.8	..03/25/2034...	1FM...
17307G-MC-9...	CMO/RMBS - CMLTI 04HYB4 AA...		09/26/2016	Direct.....		.218	.218	.182	.185		.1		.1		.186		.32	.32	.1	..12/25/2034...	1FM...
196256-AA-7...	CMBS - CAFL-161-A...		09/15/2016	Direct.....		.449	.449	.449							.449		.0	.0	.3	..06/17/2048...	1FE...
20047R-AE-3...	CMBS - COMM 07C9 A4...	R	09/12/2016	Direct.....		.889	.889	.981	.934		(17)		(17)		.916		(28)	(28)	.40	..12/10/2049...	1FM...
201730-AE-0...	CMBS - GCCFC 07G69 A4...		09/12/2016	Direct.....		49,449	49,449	51,663	51,077		(1,222)		(1,222)		49,854		(405)	(405)	1,942	..03/10/2039...	1FM...
20173V-AE-0...	CMBS - GCCFC 07G61 A4...	R	09/12/2016	Direct.....		1,642	1,642	1,815	1,753		(26)		(26)		1,719		(77)	(77)	.59	..12/10/2049...	1FM...
202795-HX-1...	COMMONWEALTH EDISON CO.		08/01/2016	Redemption.....		12,000	12,000	12,000	12,000	.0			.0		12,000			.0	215	..09/01/2016...	1FE...
20826F-AJ-5...	CONOCOPHILLIPS CO.		09/26/2016	Goldman Sachs...		8,099	8,000	7,992	7,993	.1			.1		7,994		104	104	154	..05/15/2020...	1FE...
225440-AE-9...	CSFB 07C3A A4 - CMBS...		09/16/2016	Direct.....		22,219	22,219	23,306	23,080		(388)		(388)		22,693		(474)	(474)	889	..06/15/2039...	1FM...
22545L-AD-1...	CMBS - CSFB 06C5 A3...	R	09/16/2016	Direct.....		252,002	252,002	267,807	258,785		(5,218)		(5,218)		253,567		(1,565)	(1,565)	8,982	..12/15/2039...	1FM...
23306D-AA-2...	CMBS - DBRR 13EZ3 A...		09/21/2016	Direct.....		57,922	57,922	58,044	58,001		(31)		(31)		57,971		(49)	(49)	625	..12/20/2049...	1FE...
23340E-AA-8...	ABS - DTAOT 151 A...		07/15/2016	Direct.....		21,272	21,272	21,253		.12			.12		21,264		.8	.8	113	..09/17/2018...	1FE...
25243Y-AJ-8...	DIAGEO CAPITAL PLC.	R	09/30/2016	Maturity.....		25,000	25,000	29,190	25,691		(691)		(691)		25,000			.0	1,375	..09/30/2016...	1FE...
262074-AD-9...	ABS - DRIVE 15A A3...	R	07/15/2016	Direct.....		31,354	31,354	31,368	31,362		(8)		(8)		31,354		.0	.0	262	..07/16/2018...	1FE...
26207U-AD-1...	ABS - DRIVE 15B A3...	R	08/15/2016	Direct.....		22,582	22,582	22,579	22,580		.1		.1		22,582		.0	.0	181	..06/15/2018...	1FE...
26207U-AE-9...	DRIVE 15B B - ABS...		09/15/2016	Direct.....		12,642	12,642	12,641	12,641		.1		.1		12,642		.0	.0	195	..06/17/2019...	1FE...
293580-AE-9...	ENSCO PLC.	R	08/25/2016	VARIOUS.....		3,645	5,000	4,981	4,982		.1		.1		4,983		(1,338)	(1,338)	248	..03/15/2025...	3FE...
30165T-AA-2...	ABS - EART 151 A...		09/15/2016	Direct.....		4,235	4,235	4,234	4,235		.0		.0		4,235		.0	.0	49	..06/17/2019...	1FE...
30165U-AA-9...	ABS - EART 152 A...		09/15/2016	Direct.....		6,429	6,429	6,428	6,429		.0		.0		6,429		.0	.0	66	..11/15/2019...	1FE...
30219G-AD-0...	ARISTOTLE HOLDING INC.		07/07/2016	Not Available.....		202,260	200,000	207,196	202,944		(1,346)		(1,346)		201,598		.662	.662	4,741	..02/15/2017...	2FE...
30219G-AF-5...	ARISTOTLE HOLDING INC.		08/16/2016	Goldman Sachs...		21,573	20,000	20,843	20,882		(64)		(64)		20,617		.955	.955	789	..02/15/2022...	2FE...
30231G-AJ-1...	EXXON MOBIL CORP.		09/29/2016	Morgan Stanley.....		7,210	7,000	7,000	7,000		.0		.0		7,000		210	210	181	..03/06/2022...	1FE...
32057L-AA-6...	ABS - FIAOT 161 A1...		09/15/2016	Direct.....		11,953	11,953	11,953		.0			.0		11,953		.0	.0	112	..05/15/2020...	1FE...
32058D-AC-9...	ABS - FIAOT 132 A2...		09/15/2016	Direct.....		12,725	12,725	12,739	12,732		(6)		(6)		12,726		(1)	(1)	104	..03/15/2019...	1FE...
32058F-AE-0...	ABS - FIAOT 141 A3...		09/15/2016	Direct.....		16,820	16,820	16,867	16,844		(12)		(12)		16,831		(12)	(12)	166	..01/15/2020...	1FE...
32058G-AC-2...	ABS - FIAOT 142 A2...		07/15/2016	Direct.....		27	27	27	27		.0		.0		27		.0	.0	.0	..08/15/2018...	1FE...
32058H-AC-0...	ABS - FIAOT 143 A2...		09/15/2016	Direct.....		6,133	6,133	6,133	6,133		.0		.0		6,133		.0	.0	43	..11/15/2018...	1FE...
32058H-AE-6...	FIAOT 143 A3 - ABS...		09/15/2016	Direct.....		703	703	700	700		.2		.2		702		.2	.2	9	..11/16/2020...	1FE...
32058L-AB-3...	ABS - FIAOT 151 A2...		09/15/2016	Direct.....		35,594	35,594	35,557		.5			.5		35,562		.33	.33	108	..04/15/2019...	1FE...
32058W-AA-3...	ABS - FIAOT 152 A1...		09/15/2016	Direct.....		16,655	16,655	16,616		.3			.3		16,619		.36	.36	67	..12/16/2019...	1FE...
33766U-AA-6...	CMBS - FKL 15SFR1 A...		09/09/2016	Direct.....		.651	.651	.653	.652		.0		.0		.652		(1)	(1)	.11	..03/11/2047...	1FE...
33843L-AA-3...	ABS - FCAT 153 A...		09/15/2016	Direct.....		6,247	6,247	6,247	6,247		.0		.0		6,247		.0	.0	99	..10/15/2020...	1FE...
36164F-AA-0...	RMBS - GCAT 152 A1...		09/26/2016	Direct.....		5,691	5,691	5,686	5,717		.49		.49		5,766		(74)	(74)	142	..07/25/2020...	1FE...
361844-AA-1...	ABS - GEAR 2015-1A A...		09/15/2016	Direct.....		9,498	9,498	9,497	9,501		(1)		(1)		9,501		(3)	(3)	143	..12/15/2020...	1FE...
36251K-AA-3...	ABS - GFAST 152 A...		09/15/2016	Direct.....		19,782	19,782	19,689		.19			.19		19,708		.74	.74	161	..11/15/2018...	1FE...
38018N-AA-7...	ABS - GFAST 151 A...		09/15/2016	Direct.....		36,166	36,166	36,008		.28			.28		36,036		130	130	63	..03/15/2018...	1FE...
38141G-FG-4...	GOLDMAN SACHS GROUP INC.		07/18/2016	Morgan Stanley.....		10,657	10,000	11,382	10,796		(210)		(210)		10,586		.71	.71	448	..01/18/2018...	1FE...
40414L-AH-2...	HCP INC.		07/07/2016	DAVIDSON (D.A.) & CO. INC.		20,224	20,000	19,024	19,343		.80		.80		19,423		801	801	497	..02/01/2020...	2FE...
44923Q-AF-1...	HYUNDAI CAPITAL AMERICA INC.	R	08/09/2016	Maturity.....		186,000	186,000	187,478	186,455		(455)		(455)		186,000			.0	3,488	..08/09/2016...	2FE...
45660L-AN-9...	CMO/RMBS - RAST 041P2 1A1		09/26/2016	Direct.....		.104	.104	.94	.94		.0		.0		.94		.10	.10		..12/25/2034...	1FM...
46186N-AA-6...	ABS/RMBS - IHSFR 13SFR1 A...		09/19/2016	Direct.....		1,209	1,209	1,211	1,212		(2)		(2)		1,209		.0	.0	13	..12/19/2030...	1FE...
46629G-AE-8...	CMBS - JPMCC 06CB16 A4...		07/12/2016	Direct.....		13,177	13,177	13,475	13,363		(157)		(157)		13,206		(29)	(29)	427	..05/12/2045...	1FM...
46629M-AF-2...	CMBS - JPMCC 06LDP8 A4...		07/15/2016	Direct.....		10,274	10,274	10,356	10,359		(72)		(72)		10,274		.0	.0	334	..05/15/2045...	1FM...
46629V-AC-3...	CMBS - JPMCC 07CB18 A4...		09/12/2016	Direct.....		122,973	122,973	129,574	126,921		(2,620)		(2,620)		124,301		(1,328)	(1,328)	4,872	..06/12/2047...	1FM...
46630E-AC-4...	CMBS - JPMCC 06CB17 A4...		09/12/2016	Direct.....		178,062	178,062	191,560	183,815		(3,899)		(3,899)		179,916		(1,853)	(1,853)	6,387	..12/12/2043...	1FM...
46635G-AC-4...	CMBS - JPMCC 10C2 A2...		09/15/2016	Direct.....		20,835	20,835	21,386		(74)			(74)		21,311		(476)	(476)	338	..11/15/2043...	1FM...
47787T-AD-8...	ABS - JDOT 13 A4...		08/15/2016	Direct.....		290,784	290,784	290,749	290,770		.7		.7		290,778		.6	.6	1,480	..07/15/2019...	1FE...
50179A-AE-7...	CMBS - LBUBS 07C1 A4...	R	09/15/2016	JEFFERIES & CO. - BONDS		100,714	100,714	103,963	103,517		(1,461)		(1,461)		102,056		(1,343)	(1,343)	3,247	..02/15/2040...	1FM...
53418T-BB-4...	LINCOLN NATIONAL CORP.		07/22/2016	DIRECT.....		16,602	15,000	16,620	16,267		(122)		(122)		16,145		.457	.457	430	..06/24/2021...	2FE...
55265K-2N-8...	CMO/RMBS - MASTR 0311 8A1	R	09/26/2016	Direct.....		3,541	3,541	3,707	3,680		.28		.28		3,708		(167)	(167)	130	..12/25/2033...	1FM...
55313K-AE-1...	CMBS - MLCFC 077 A4...		09/14/2016	Direct.....		6,723	6,723	7,239	7,045		(138)		(138)		6,907		(184)	(184)	278	..06/12/2050...	1FM...
55616X-AM-9...	MACY'S RETAIL HOLDINGS INC.		09/29/2016	First Tennessee Bank.....		2,830	3,000	2,420			.9		.9		2,430		400	400	108	..12/15/2034...	2FE...

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
57063A-AA-9...	ABS - MARKETPLACE LN TR SER 2015-0D3, CMO/RMBS - MARM 04AR13		09/19/2016	Direct		18,308	18,308	18,251	18,259		20		20		18,279		29	29	387	09/18/2017	
576433-UM-6...	3A7	R	09/21/2016	Direct		695	695	684	685		0		0	685		9	9	13	11/21/2034	1FM	
589929-ZM-9...	CMO/RMBS - MLM1 03F A1		09/26/2016	Direct		1,165	1,165	1,129	1,131		2		2	1,133		32	32	8	10/25/2028	1FM	
59020U-BU-8...	CMO/RMBS - MLM1 04B A1		09/26/2016	Direct		2,375	2,375	2,268	2,277		(3)		(3)	2,274		101	101	15	05/25/2029	1FM	
60689L-AC-9...	ABS - MMAF 13A A3		09/09/2016	Direct		21,255	21,255	21,253	21,408		(91)		(91)	21,316		(61)	(61)	147	12/11/2017	1FE	
61750W-AS-2...	CMBS - MSCI 061012 A1A		09/15/2016	Direct		7,274	7,274	7,602	7,483		(160)		(160)	7,323		(49)	(49)	273	12/15/2043	1FM	
61751N-AF-9...	MSCI 07HQ11 A4 - CMBS		09/14/2016	Direct		20,232	20,232	20,826	20,781		(354)		(354)	20,428		(196)	(196)	766	02/12/2044	1FM	
61945C-AA-1...	MOSAIC CO		09/23/2016	Keybank		12,643	12,000	11,960	11,971		3		3	11,974			670	670	391	11/15/2021	2FE
65504L-AM-9...	NOBLE HOLDING INTERNATIONAL LTD	R	07/01/2016	Adjustment							7		7					0		03/16/2018	4FE
67134R-AA-6...	RMBS - OHART 15NPL2 A		09/26/2016	Direct		4,219	4,219	4,219	4,219		0		0	4,219				103	07/25/2055		
68268B-AA-7...	ABS - OMFIT 142 A		09/19/2016	Direct		17,277	17,277	17,226	17,269		4		4	17,273			4	4	295	09/18/2024	1FE
68389X-BA-2...	US BANCORP INVESTMENTS INC		09/09/2016			19,910	19,000	18,972	18,978		3		3	18,980			930	930	615	07/08/2021	1FE
69362B-AX-0...	PSEG POWER LLC		09/15/2016	Maturity		199,000	199,000	206,709	201,610		(2,610)		(2,610)	199,000				5,473	09/15/2016	2FE	
71645W-AN-1...	PETROBRAS INTERNATIONAL	R	07/12/2016	BNP PARIBAS SECURITIES		21,234	20,000	24,552	17,700	4,833	(403)		4,430	22,130		(896)	(896)	1,313	03/15/2019	4FE	
74113A-AC-0...	BOND		07/15/2016	Direct		648	648	648	648		0		0	648		0	0	4	03/15/2018	1FE	
74113E-AB-4...	ABS - PART 141 A2		09/15/2016	Direct		25,592	25,592	25,547	25,547		19		19	25,566		26	26	163	02/15/2019	1FE	
74332W-AA-3...	PROG 15SFR2 A - ABS		09/12/2016	Direct		114	114	114	114		0		0	114		0	0	2	06/14/2032	1FE	
744320-BC-8...	PRUDENTIAL FINANCIAL INC		08/02/2016	MIZUHO SECURITES FIXED		21,264	20,000	23,206	21,657	(508)	(508)		(508)	21,149		114	114	813	12/01/2017	2FE	
78008T-LB-8...	ROYAL BANK OF CANADA	I	07/20/2016	Maturity		25,000	25,000	25,684	25,090	(90)	(90)		(90)	25,000		0	0	575	07/20/2016	1FE	
806854-AA-3...	SCHLUMBERGER INVESTMENT SA		09/14/2016	Maturity		25,000	25,000	25,305	25,047	(47)	(47)		(47)	25,000		0	0	488	09/14/2016	1FE	
81744F-FJ-1...	CMO/RMBS - SEMT 0411 A1		09/20/2016	Direct		3,137	3,137	2,973	2,973	5	5		5	2,979		159	159	21	12/20/2034	1FM	
842587-CH-8...	SOUTHERN CO		09/01/2016	Maturity		5,000	5,000	4,992	4,999	1	1		1	5,000		0	0	98	09/01/2016	1FE	
850228-AC-1...	ABS - SCFT 14A A		09/26/2016	Direct		6,365	6,365	6,365	6,365	0	0		0	6,365		0	0	115	05/25/2023	1FE	
85172K-AA-6...	ABS - SLFT 14A A		09/15/2016	Direct		19,521	19,521	19,518	19,562	(42)	(42)		(42)	19,520		1	1	311	12/15/2022	1FE	
85771P-AV-4...	STATOIL ASA	R	08/23/2016	Keybank		4,180	4,000	3,982	3,984	2	2		2	3,985		194	194	87	11/10/2021	1FE	
86359L-AA-7...	CMO/RMBS - SAMI 03AR4 A1		09/19/2016	Direct		1,840	1,840	1,766	1,765	3	3		3	1,767		72	72	15	01/19/2034	1FM	
86562W-AC-4...	SUMITOMO MITSUI FINANCIAL GROUP INC	R	07/08/2016	SALOMON BROTHERS INC		8,811	8,000	8,000	8,000	0	0		0	8,000		811	811	105	03/09/2026	1FE	
86777B-AA-3...	CMO/RMBS - SMLC 14NPL2 A		09/16/2016	Direct		5,536	5,536	5,536	5,536	0	0		0	5,536		0	0	128	11/16/2044		
893830-AY-5...	TRANSOCEAN INC	R	07/21/2016	Not Available		3,780	4,000	4,512	2,760	1,601	(37)		1,563	4,323		(543)	(543)	178	11/15/2020	4FE	
893830-BB-4...	TRANSOCEAN INC		07/21/2016	Not Available		6,300	7,000	6,159	4,524	1,790	57		1,847	6,655		(355)	(355)	306	12/15/2021	4FE	
909319-AA-3...	UNITED AIRLINES INC		08/15/2016	Direct		249	249	249	249	0	0		0	249		0	0	11	02/15/2027	1FE	
91827A-AJ-6...	CMO - VOLT 15NPL4 A1		09/26/2016	Direct		16,572	16,572	16,555	16,565	(3)	(3)		(3)	16,562		10	10	416	02/25/2055	5*	
91830H-AA-5...	CMO/RMBS - VOLT 14NPL7 A1		09/26/2016	Direct		9,524	9,524	9,512	9,518	3	3		3	9,521		4	4	219	08/27/2057	5*	
91830J-AJ-2...	RMBS - VOLT 15NPL6 A1		09/26/2016	Direct		16,028	16,028	16,012	15,847	163	7		170	16,019		9	9	397	02/25/2055	5*	
91830L-AJ-7...	CMO/RMBS - VOLT 15NPL8 A1		09/26/2016	Direct		6,344	6,344	6,337	6,344	93	(76)		93	6,344		0	0	149	06/26/2045	5*	
91830V-AA-4...	RMBS - VOLT 15NP5 A1		09/26/2016	Direct		13,421	13,421	13,408	13,187	230	10		241	13,427		(6)	(6)	324	03/25/2055	5*	
91830W-AA-2...	RMBS - VOLT 15NP3 A1		09/26/2016	Direct		16,374	16,374	16,356	16,362	4	4		4	16,366		8	8	380	10/25/2058		
91831L-AA-5...	ABS - VOLT 16NPL6 A1		09/26/2016	Direct		7,421	7,421	7,421	7,421	0	0		0	7,421		0	0	54	06/25/2046		
925369-AA-8...	VESEY STREET INVESTMENT TRUST I		09/01/2016	Maturity		109,000	109,000	110,159	109,201	(201)	(201)		(201)	109,000			0	4,800	09/01/2016	1FE	
92871F-AA-7...	RMBS - VOLT 35 A1		09/26/2016	Direct		17,411	17,411	17,394	17,398	4	4		4	17,402		8	8	421	06/26/2045		
92871H-AA-3...	ABS - VOLT 15NP13 A1		09/26/2016	Direct		11,151	11,151	11,141	11,141	2	2		2	11,143		8	8	310	10/25/2045		
92871K-AA-6...	CMO - VOLT 15NP14 A1		09/26/2016	Direct		16,249	16,249	16,236	16,236	4	4		4	16,241		8	8	493	12/26/2045		
92978M-AE-6...	CMBS - WBCMT 06C28 A4		09/16/2016	Direct		332,516	332,516	356,943	343,360	(7,832)	(7,832)		(7,832)	335,529		(3,013)	(3,013)	11,296	10/15/2048	1FM	
92978P-AF-6...	CMBS - WBCMT 06C29 A1A		09/15/2016	Direct		27,594	27,594	28,512	28,448	(644)	(644)		(644)	27,804		(210)	(210)	1,019	11/15/2048	1FM	
931422-AH-2...	WALGREEN CO		08/03/2016	PIERPOINT SECURITIES		28,187	27,000	26,932	26,932	2	2		2	26,935		1,252	1,252	322	09/15/2022	2FE	
94106L-AX-7...	WASTE MANAGEMENT INC		09/01/2016	Maturity		10,000	10,000	10,524	10,091	(91)	(91)		(91)	10,000		0	0	260	09/01/2016	1FE	
94981R-AA-4...	CMO/RMBS - WFMB5 05AR3		09/26/2016	Direct		2,422	2,422	2,459	2,451	35	35		35	2,486		(64)	(64)	46	03/25/2035	1FM	
96041Y-AB-0...	ABS - WLAKE 142 A2		07/15/2016	Direct		1,167	1,167	1,167	1,167	0	0		0	1,167		0	0	7	10/16/2017	1FE	
96042C-AC-5...	ABS - WLAKE 152 A2A		09/15/2016	Direct		21,593	21,593	21,553	21,559	13	13		13	21,573		21	21	184	07/16/2018	1FE	
96328D-AM-6...	ABS - WHLS 151 A2		09/20/2016	Direct		56,840	56,840	56,835	56,837	1	1		1	56,838		2	2	483	04/22/2024	1FE	
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						3,808,761	3,794,286	3,907,160	3,576,331	8,786	(34,061)	0	(25,274)	0	3,809,681	0	(920)	(920)	93,102	XXX	XXX
8399997 - Subtotals - Bonds - Part 4						7,394,494	7,373,954	7,497,841	4,069,784	8,786	(33,992)	0	(25,205)	0	7,396,030	0	(1,536)	(1,536)	114,326	XXX	XXX
8399999 - Subtotals - Bonds						7,394,494	7,373,954	7,497,841	4,069,784	8,786	(33,992)	0	(25,205)	0	7,396,030	0	(1,536)	(1,536)	114,326	XXX	XXX
Common Stock - Mutual Funds																					
111994-90-1...	JP MORGAN CORE BOND FUND		07/18/2016	REDEMPTION		344,320	3,719	XXX	3,481	3,581	(100)	0	(100)	0	3,481	0	238	238	66	XXX	L

SCHEDULE D - PART 4

[illegible]

E05.4

Schedule DB - Part A - Section 1
NONE

Schedule DB - Part B - Section 1
NONE

Schedule DB - Part D - Section 1
NONE

Schedule DB - Part D - Section 2
NONE

Schedule DL - Part 1
NONE

Schedule DL - Part 2
NONE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Health Alliance Plan of Michigan

SCHEDULE E - PART 1 - CASH

[illegible]

SCHEDULE E - PART 2 - CASH EQUIVALENTS

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
NONE							
8699999 Total Cash Equivalents					0	0	0